IUCLID 6

Release notes

Version 6.5.1.2

28/10/2020



Table of Contents

1	INT	RODUCTION		11
2	VE	RSION 6.5.1.2 (28 OCTOBER 2	020)	12
	2.1	IUCLID definition providers		12
		2.1.1 Migration		12
	2.2	Data management		13
		2.2.1 Copy		13
		2.2.2 (new) Dataset view		13
		2.2.3 Delete		13
		2.2.4 Display of values		14
		2.2.5 Inbound references		14
		2.2.6 Phrase groups		14
	2.3	Export		14
	2.4	Filtering (dissemination preview)		14
	2.5	Import		14
	2.6	Print		15
	2.7	Reporting		15
	2.8	Search		17
	2.9	Validation assistant		17
		2.9.1 PCN related changes		18
		2.9.2 Waste directive (SCIP)		18
		2.9.3 AICIS (Australia)		18
		2.9.4 EU Plant Protection Product (El	J PPP)	18
	2.10	Miscellaneous		19
		2.10.1 Public API		19
		2.10.2 i6z format		19
		2.10.3 Menu		20
3	VE	RSION 6.4.19.2 – ECHA CLOU	D ONLY (13 JULY 2020)	21
	3.1	Annotations		21
	3.2	Article		21
	3.3	Data edition		21
	3.4	Dossiers		22



	3.5	Import		22
	3.6	Repor	t generator and create PDF	22
	3.7	Search	າ	22
	3.8	Valida	tion assistant	22
	3.9	Miscel	laneous	23
4	VEF	RSION	N 6.4.14.2 – PATCH RELEASE (7 AUGUST 2020)	. 24
	4.1	Installa	ation	24
	4.2	Printin	g	24
	4.3	User N	/lanagement	24
5	VEF	RSION	N 6.4.14.1 (29 APRIL 2020)	. 25
	5.1	Article	S	25
	5.2	Compa	arison	25
	5.3	Сору .		26
	5.4	Catego	ory	26
	5.5	Datase	ets and dossiers	26
	5.6	DNEL	calculator	27
	5.7	Invent	ories	27
	5.8	Repor	t generator	28
	5.9	Valida	tion assistant	28
		5.9.1	REACH	28
		5.9.2	SCIP	28
		5.9.3	Poison Centre Notifications (PCN)	29
		5.9.4	Known issues and future improvements	29
	5.10	Search	າ	29
	5.11	User s	ettings	30
	5.12	Miscel	laneous	30
6	VEF	RSION	N 6.4.9 – ECHA CLOUD ONLY (17 FEBRUARY 2020)	31
	6.1	Annota	ations	31
	6.2	Article	s	31
	6.3	Poisor	Centres Notifications (guided dossier approach)	31
	6.4	REAC	H registration (guided dossier approach)	31
	6.5	Valida	tion assistant	32
	6.6	Web ir	nterface	32



7	VEF	RSION 6.4.8 – ECHA CLOUD ONLY (27 JANUARY 2020)	33
	7.1	Articles	33
	7.2	Chemical Safety Report (CSR)	33
	7.3	Clipboard / copy	33
	7.4	Dossier	33
	7.5	Data entry / edit	33
	7.6	Filtering / dissemination preview	34
	7.7	i6z files	34
	7.8	Poison Centres Notifications	34
	7.9	Print	34
	7.10	Validation assistant	35
	7.11	Web user interface	35
8	VEF	RSION 6.4.2 (30 OCTOBER 2019)	36
	8.1	IUCLID definition providers	36
	8.2	Web interface	36
		8.2.1 Dossiers	36
		8.2.2 Document edition	37
		8.2.3 Export	37
		8.2.4 Performance improvements	37
		8.2.5 Print (create PDF)	38
		8.2.6 User interface improvements	38
		8.2.7 Miscellaneous	38
	8.3	Article and SCIP database	39
	8.4	Chesar	39
	8.5	Dossier comparison	39
	8.6	Filtering module	39
	8.7	Guided dossier approach for Poison Centre Notifications (PCN)	39
	8.8	Help system	40
	8.9	Installation / start-up	40
	8.10	Report generator	41
	8.11	Validation assistant	45
9	VEF	RSION 3.16.1 (24 APRIL 2019)	46
	9.1	IUCLID functionalities	46



		9.1.1	Clipboard	47
		9.1.2	Comparison (web interface)	47
		9.1.3	Data entry and navigation	47
		9.1.4	Dossier	48
		9.1.5	Import	48
		9.1.6	Lists of substances and mixtures (web interface)	48
		9.1.7	Menus and options	49
		9.1.8	Poison Centres Notification (PCN) wizard	49
		9.1.9	Search	49
	9.2	IUCLIE) modules	49
		9.2.1	Dissemination preview	49
		9.2.2	Fee calculator	49
		9.2.3	Help system	49
		9.2.4	Report generator	49
		1.1.2.	Validation assistant	52
	9.3	Techni	cal changes	53
10	VEF	RSION	I 3.1.1 (24 OCTOBER 2018)	54
	10.1	IUCLIE	O definition providers	54
			O definition providers	
	10.2	IUCLIE		54
	10.2	IUCLIE	new user interface	54 57
	10.2	IUCLIE IUCLIE 10.3.1	new user interface	54 57 57
	10.2	IUCLIE IUCLIE 10.3.1 10.3.2	new user interface	54 57 57 57
	10.2	IUCLIE IUCLIE 10.3.1 10.3.2 10.3.3	new user interface	54 57 57 57
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4	new user interface	54 57 57 57 57
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5	Dinew user interface Difunctionalities Assessment entities Clipboard Database migration tool Document selection	54 57 57 57 57 57
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5 10.3.6	Dinew user interface Display functionalities Assessment entities Clipboard Database migration tool Document selection Dossier comparison	54 57 57 57 57 57 58 58
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5 10.3.6 10.3.7	Dinew user interface Difunctionalities Assessment entities Clipboard Database migration tool Document selection Dossier comparison Dossier creation	54 57 57 57 57 57 58 58
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5 10.3.6 10.3.7	Dinew user interface Difunctionalities Assessment entities Clipboard Database migration tool Document selection Dossier comparison Dossier creation Export	54 57 57 57 57 58 58 58
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5 10.3.6 10.3.7	Dinew user interface Difunctionalities Assessment entities Clipboard Database migration tool Document selection Dossier comparison Dossier creation Export Instance-based security (IBS)	54 57 57 57 57 58 58 58 58
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5 10.3.6 10.3.7 10.3.8 10.3.9	O new user interface O functionalities Assessment entities Clipboard Database migration tool Document selection Dossier comparison Dossier creation Export Instance-based security (IBS) Import O Printing and reporting	54 57 57 57 57 58 58 58 58 58
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5 10.3.6 10.3.7 10.3.8 10.3.9 10.3.10	O new user interface O functionalities Assessment entities Clipboard Database migration tool Document selection Dossier comparison Dossier creation Export Instance-based security (IBS) Import O Printing and reporting	54 57 57 57 57 58 58 58 58 58 59
	10.2	IUCLIE 10.3.1 10.3.2 10.3.3 10.3.4 10.3.5 10.3.6 10.3.7 10.3.8 10.3.9 10.3.1 10.3.1	Discription and reporting. Discription and reporting. Discription and reporting.	54 57 57 57 57 58 58 58 58 59 59



		10.4.3 Fee calculator	50
		10.4.4 Help system	
		10.4.5 Report generator	
		10.4.6 Validation assistant	
	10.5	Integration with other tools / API	
		10.5.1 IUCLID Public API	
		10.5.2 Chesar	64
	10.6	Miscellaneous	64
11	VEF	RSION 2.0.0 (15 NOVEMBER 2017)	65
		IUCLID legislations	
		11.1.1 CORE	
		11.1.2 OECD	
		11.1.3 REACH	
		11.1.4 CLP	
		11.1.5 BPR	
	11.2	IUCLID functionalities	68
		11.2.1 Attachments	68
		11.2.2 Clipboard manager	69
		11.2.3 Confidentiality flags	69
		11.2.4 Document dynamic content	69
		11.2.5 Dossier and export	69
		11.2.6 Printing	69
		11.2.7 Search	70
	11.3	IUCLID modules	70
		11.3.1 Dissemination preview	70
		11.3.2 Fee calculator	70
		11.3.3 Help system	70
		11.3.4 Report generator	70
		11.3.5 Validation assistant	74
	11.4	Integration with other tools / API	75
		11.4.1 Chesar	75
		11.4.2 Public API	75
	115	Miscellaneous	75



12	VERSION	l 1.3.0 (28 APRIL 2017)	76
	12.1 Backg	round job	. 76
	12.2 Clipbo	ard	. 76
	12.3 Compa	arison tool	. 76
	12.4 Data e	edition	. 76
	12.5 Databa	ase patch tool	. 77
	12.6 Disser	nination preview	. 77
	12.7 Dossie	er creation	. 77
	12.8 Help s	ystem	. 78
	12.9 Import	/ export	. 78
	12.10	Information panel	. 78
	12.11	Installer and updater	. 78
	12.12	Instance based security (IBS)	. 79
	12.13	Navigation	. 79
	12.14	PNEC calculator (NEW)	. 79
	12.15	Printing	. 79
	12.16	Public REST API	. 79
	12.17	Report generator	. 80
	12.17.	1 Chemical Safety Report (CSR)	. 80
	12.18	Restore	. 82
	12.19	Server version	. 82
	12.20	Validation Assistant	. 83
13	VERSION	l 1.2.0 (31 JANUARY 2017)	84
	13.1 Annota	ations	. 84
	13.2 Attach	ments	. 84
	13.3 Backg	round jobs	. 84
	13.4 Clipbo	ard manager	. 84
	13.5 Disser	nination preview	. 85
	13.6 DNEL	generator (NEW)	. 85
	13.7 Docum	nent selection (export / import)	. 85
	13.8 Dossie	er creation / Export	. 85
	13.9 Help s	ystem	. 86
	13.10	Hyperlinks (NEW)	. 86



	13.1	1	Import	86
	13.12	2	Installer, updater and other IUCLID tools (e.g. migration tool)	86
	13.13	3	Inventory manager	87
	13.14	4	Navigation panel	87
	13.1	5	Print	87
	13.16	6	Public (REST) API (NEW)	88
	13.17	7	Report generator	88
		13.17.1	Chemical Safety Report (CSR)	88
	13.18	3	Server version	89
	13.19	9	User interface	90
	13.20	C	Validation assistant	90
14	VEF	RSION	1.1.0 (30 SEPTEMBER 2016)	91
	14.1	Introduc	ction	91
	14.2	Migratio	on (import, migration tool and IUCLID 6 database patch tool)	91
	14.3	IUCLID	functionalities	91
		14.3.1	Annotations	91
		14.3.2	Clipboard	92
		14.3.3	Comparison	92
		14.3.4	Concurrency	92
		14.3.5	Export	92
		14.3.6	GHS (section 2.1)	92
		14.3.7	Import	93
		14.3.8	Inventory management	93
		14.3.9	Literature reference	93
		14.3.10	Logging	93
		14.3.11	Print	93
		14.3.12	Reference substances	94
		14.3.13	References to document	94
		14.3.14	Search	94
		14.3.15	Submission types	94
		14.3.16	System administration	95
		14.3.17	User preferences	95
		14 3 18	User interface	95



		14.3.19 User management	96
	14.4	IUCLID modules	96
		14.4.1 Chesar communication module	96
		14.4.2 Help system	96
		14.4.3 Public REST API	96
		14.4.4 Report generator	97
		14.4.5 Validation assistant	98
15	VEF	RSION 1.0.2 (21 JUNE 2016)	100
	15.1	Introduction	. 100
	15.2	IUCLID functionalities	. 100
		15.2.1 Dossiers	. 100
		15.2.2 Import of IUCLID 5.6 dossiers	. 100
		15.2.3 Migration	. 100
	15.3	IUCLID modules	. 100
		15.3.1 Report generator	. 100
		15.3.2 Dissemination preview	. 102
		15.3.3 Help system	. 102
		15.3.4 Chesar communication module	. 102
16	VEF	RSION 1.0.0 (29 APRIL 2016)	103
	16.1	IUCLID legislations	. 103
		16.1.1 CORE (version 2.25)	. 103
		16.1.2 OECD (version 2.20)	. 103
		16.1.3 REACH (version 2.5)	. 103
		16.1.4 CLP (version 2.5)	. 103
		16.1.5 BPR (version 2.6)	. 103
	16.2	IUCLID functionalities	. 104
		16.2.1 Annotations	. 104
		16.2.2 Assessment entities	. 104
		16.2.3 Clipboard manager	. 104
		16.2.4 Documents management	. 104
		16.2.5 Dossier	. 104
		16.2.6 Export	. 105
		16.2.7 Migration	. 105



	16.2.8 Printing	105
	16.2.9 User interface	105
	16.2.10 User management	105
	16.2.11 Search	105
16.3	IUCLID modules	106
	16.3.1 Validation assistant	106
	16.3.2 Report generator	106
	16.3.3 Dissemination preview	109
	16.3.4 Fee calculation	109
	16.3.5 Help system	109



1 INTRODUCTION

Please also refer to the <u>Frequently Asked Questions</u> on the IUCLID 6 website for known issues, and if relevant, workarounds and advice on how to handle such issues.

The releases notes are highlighting changes compared to the previous published version of IUCLID 6.

In the next sections, new features and improvements are identified with **NEW** and **IMP** respectively, and bug fixes with **FIX**.



2 VERSION 6.5.1.2 (28 OCTOBER 2020)

The web user interface has been improved so that the gap with the classic interface is limited to specific use cases such as user and role configuration or the management of reports. In order to progress with the decommissioning of the classic interface, which is scheduled for the coming months, the default interface in this release is now the web interface and all Improvements, Fixes or new Features, are related to the web interface, unless stated otherwise.

In case the access to the classic interface is still needed, more information can be found on the IUCLID website: https://iuclid6.echa.europa.eu/web/iuclid/learn-to-use-the-new-interface

As in every major update of IUCLID, this version also comes with an update of the format to take into account:

- the latest evolutions of the CLP regulations (latest ATPs) and the latest revision of the Globally Harmonised System (GHS)
- the database on substance of very high concern in articles (SCIP) and the submission to European Poison Centres
- the needs of several regulatory organisations including the European Food Safety Authority (EFSA) and the organisations managing chemicals in Australia and New Zealand.

Note on the versioning: IUCLID 6 version 5.1.1 is the latest official IUCLID 6 release since version 4.14.2 published in July 2020. The versioning of the official releases available on the IUCLID 6 website is not consecutive as other intermediate versions of the application can be made available to specific users or groups of users, for example to:

- answer some specific bugs experienced by users,
- provide new features relevant to a specific users group, for example to the <u>ECHA</u>
 <u>Cloud Services</u> users.

2.1 IUCLID definition providers

The format information is available on the IUCLID 6 website:

https://iuclid6.echa.europa.eu/format

This page contains all information concerning the most recent version of the IUCLID 6 format as well as the documentation of the previous format versions.

2.1.1 Migration

NEW Forward migration of the data implemented (from IUCLID 5.6 and previous IUCLID 6 versions to IUCLID 6.5)



NEW Backward migration of the data implemented (from IUCLID 6.5 to the previous major version of IUCLID: 6.4)

Known issues

 The structure of some ecotoxicological endpoint summaries has been extended to allow the specification of dose descriptors. No default values are selected after migration.

2.2 Data management

FIX Dynamic Content Rules are not working when opening a custom document in sliding window

Ref. IUCVI-16688

NEW Clearly inform the user when he is working on an inherited template

Ref. IUCVI-16711

2.2.1 Copy

NEW Bulk copy from documents from dossiers to a dataset

Ref. IUCVI-15471

IMP Copy of documents is now allowed for the same dataset

Ref. IUCVI-15935

2.2.2 (new) Dataset view

NEW Working context selector added to the dataset navigation page

Ref. IUCVI-16296

NEW Support inheritance of a template from a mixture or substance through navigation tree

Ref. IUCVI-16297

NEW Create PDF (component PDF, document PDF) in datasets

Ref. IUCVI-16315

NEW Reorder document in the navigation tree

Ref. IUCVI-16071

FIX When deleting a document, the redirection to the root node is not always performed

Ref. IUCVI-16303

2.2.3 Delete

NEW Bulk delete of entities



2.2.4 Display of values

FIX '0' value in a half-bounded range is not visible

Ref. IUCVI-16469

FIX The user is able to exceed the maximum limit of characters in text templates

Ref. IUCVI-14365

FIX Flag fields at the top of some documents are currently not visible

Ref. IUCVI-16549

FIX Estimated quantities ESR - The last number might get deleted during the entry

Ref. IUCVI-15560

2.2.5 Inbound references

NEW View a document's (including entities) inbound references

Ref. IUCVI-12554

2.2.6 Phrase groups

IMP Improve display of large phrases in the single picklist component

Ref. IUCVI-16316

NEW Support displaying Hierarchical phrase groups in single picklist components

Ref. IUCVI-16294

2.3 Export

NEW Bulk export of entities

Ref. IUCVI-14501

2.4 Filtering (dissemination preview)

NEW EU_PPP filtering scenarios following the IUCLID format extension to make possible Pesticides submissions in Europe: Please note that the Pesticides submissions filter rules currently implemented in IUCLID (and verifiable by means of the Dissemination Preview) are provisional and may be subject to changes or correction prior to 27 March 2021.

2.5 Import

NEW Advanced import of i6z files



2.6 Print

NEW Advanced options for printing dossiers, including document selection Ref. IUCVI-16385

2.7 Reporting

All reports are adapted to the IUCLID 6.5 format. Below is a summary of new improvements or functionalities both for the reporting engine and the report templates used to generate reports from IUCLID. Moreover, there are specific details about the CSR and PCN reports, as well as about a new set of reports.

General improvements

 NEW Allow generation of the Summary of product characteristics (SPC) report for SPC submission type

Ref. IUCVI-15984

NEW Allow generation of a report from a single entity without section documents. This
improvement only applies to Category and Article entities and can only be generated
from the web user interface.

Ref. IUCVI-15996

CSR report

- NEW Make CSR Freemarker templates modularized and re-usable. Modules will be provided separately on the IUCLID website: https://iuclid6.echa.europa.eu/reports
- NEW Display links to endpoint study records from endpoint summaries
- Ref. IUCVI-14034
- FIX Nanomaterial section is now displayed without error

PCN report

- NEW GPI changed to GCI
- NEW GCI indication included if GCI flagged for substance component of MiM
- NEW SFC included for Substance/Mixture components
- Ref. IUCVI-16267
- NEW ICG included for Mixture components
- Ref. IUCVI-16280
- NEW Full classification of MiM substance components included
- **NEW** New icons for GCI/ICG/SFC
- NEW Adjusted layout of the mixture composition (section 1.4) to take into consideration the new amount of information contained in the Composition



- NEW Added multi-lingual output for the dossier header field 'other update reason'
- Ref. IUCVI-16674
- FIX Report is printed if no components in a composition record
- Ref. IUCVI-16761

New reports

NEW SCIP Notification Preview report [PDF]

Full Article-based report which presents information for the main article and referenced complex articles

Ref. IUCVI-14816

NEW SCIP Notification Preview without sensitive information report [PDF]
 Article-based report with potentially sensitive business information excluded, and presents information for the main article and referenced complex articles. The sensitive fields are outlined below:

Dataset reporting:

does not include Article UUID in the first page of the document

Dossier reporting:

does not include Dossier UUID in the first page of the document does not include Article UUID in the first page of the document does not include Dossier UUID in the Dossier header section

Applicable to both Dataset and Dossier reporting:

does not include in the categorisation section of the sub-components the EU Production information

the identifiers section of the sub-component article contains only the Article name

- NEW Assessment entity summary report for nanoforms [HTML]
 Substance-based report for displaying the linked Endpoint Summaries and Compositions to an assessment entity
- NEW Inbound references report for section documents (REACH/CLP) [HTML]
 Shows inbound references of section documents
- **NEW** Composition of a substance for inquiries (REACH)
- NEW Substance of Very High Concern (SVHC) report (REACH Annex XV) [RTF/PDF]
 report. The report extracts the key substance identifiers and composition as well as
 scientific information from IUCLID sections 4-7, and structures the report based on the



Annex XV template found on ECHA's template page:

https://echa.europa.eu/support/guidance-on-reach-and-clp-implementation/formats/formats-for-the-authorities

Known issues

- Inbound references report for section documents (REACH/CLP) may be slow to generate, up to ten minutes for very large datasets and dossiers
- SCIP reports do not have the full Article category text available, only the Category value selected. Ref. SCIP-125

2.8 Search

NEW Advanced search in the list of entities

Ref. IUCVI-15438

FIX Submission type 'REACH complete' cannot be retrieved by search

Ref. IUCVI-13344

2.9 Validation assistant

NEW and **IMP** completeness check rules for nanoforms and sets of nanoforms for registration dossiers; the new rules ensure that name of nanoform or name of set of nanoforms is provided; the updated rules ensure that each legal entity composition has a reference to a boundary composition covering the nanoform or set of nanoforms. Validation of characterisation of nanoforms and sets of nanoforms are available also for boundary compositions

NEW the 'Value' field datatype under *In vitro / in chemico* table in IUCLID section **7.4.1 Skin sensitisation** was changed to *Numeric* (decimal, <u>including unit</u>) from the *Range* datatype <u>without a unit</u>. Due to this update a unit must be selected in IUCLID 6.5, otherwise the rule **TCC_070401_03** is triggered.

IMP the rule **TCC_0305_08** where a service life is required based on the technical function is deactivated. It will also not be present as a quality rule. Therefore as of the IUCLID 6.5 release, all TCC failures for section 3.5 should be treated as real failures.

NEW and **IMP** updates to the automated checks, business rules, for the Application for authorisation. The rules were extended to check category member substances.

Known issues and future improvements:

 The ordering of the failures or warnings reported in the web interface of IUCLID is different from the ordering of the issues in the classic user interface. This will be corrected in future releases.



2.9.1 PCN related changes

NEW BR632 "'Market placement country' cannot be 'United Kingdom of Great Britain and Northern Ireland [GB]' or 'United Kingdom: Northern Ireland [XN]"

IMP BR543 Since option 'other:' was removed from 'Justification' dropdown list from 'Reasons for update' BR543 rule was modified to: 'If submission is an update' is indicated, then 'Justification' must be selected from dropdown list or 'Other update reason' must be provided.

NEW BR633 MiM's components need to provide also 'Classification and labelling' record.

NEW BR636 'Classification and labelling' record must be provided either in MiM or for all MiM's components if the MiM is marked to be ICG

NEW BR621 If 'pH not relevant' was indicated then justification must be provided.

NEW QLT634 'IUPAC name needs to be meaningful' and QLT635 'Substance name needs to be meaningful' (rule checks that the provided name is not for instance 'not available' or 'proprietary substance'.)

IMP BR542 rule was changed so that 'Product not packaged' and Link to packaging information can be provided both in the same Packaging record.

IMP Many rules regarding Substance identification, MiM identification and concentrations were modified to exclude components that were marked to be 'Standard formula (SF)' components or 'Interchangeable Component Group (ICG)' components

Known issues and future improvements:

- The links from Validation assistant report which are supposed to lead to the failing section currently open in many cases section where it is not possible to correct the failure.
- There are yet no rules to check that the Standard formula (SF) components were provided correctly.
- There are no rules checking that Interchangeable component group (ICG) components have provided composition.

2.9.2 Waste directive (SCIP)

For Waste directive (SCIP) there were no new rules added.

2.9.3 AICIS (Australia)

IMP update in AICIS configurations.

2.9.4 EU Plant Protection Product (EU PPP)

NEW and **IMP** new EU_PPP validation scenarios following the IUCLID format extension to make possible Pesticides submissions in Europe and implementation of new EU_PPP dossier types. Three EU_PPP submission types are available for validation. New EU_PPP rules ensuring minimum requirements are fulfilled for the validated submission types. Updates to the existing EU_PPP rules, extension to all available for validation dossier types.



Known issues and future improvements:

• Issues with the message display for several EU_PPP rules, such as section number is incorrectly displayed for the issues related to Active substance incomplete minimum requirements for the submission. The section number in the message itself and not in the message header should be considered. The number in the header refers to the mixture section number and not to the linked active substance, therefore incorrect (i). The link to an incomplete document and section is missing and only incomplete entity name is displayed in the VA report for the rule checking Literature reference entries (EU_PPP_010) (ii). The message display issues will be corrected in future releases.

2.10 Miscellaneous

FIX IUCLID logo cannot be configured by a user in Server or Desktop (Windows) environments

Ref. IUCVI-16565

FIX Attachments are not accessible in dossiers (when navigating for a second time to a record)

Ref. IUCVI-15943

FIX Update installation fails when derby database located under 'javadb' folder

Ref. IUCVI-16248

FIX Inconsistent access to share operation in web-UI vs classic-UI

Ref. IUCVI-16680

NEW Support for Oracle 19c

Ref. IUCVI-15964

2.10.1 Public API

NEW Provide a REST resource for listing working contexts

Ref. IUCVI-16734

NEW Provide a REST resource for listing the registered section trees (TOC)

Ref. IUCVI-16728

2.10.2 i6z format

NEW Adaptations to the IUCLID 6.5 format

Ref. IUCVI-16011

IMP Update the manifest xml file so all submission types for a Mixture are added for all Mixture-based reports

Ref. IUCVI-16370

IMP Adapt i6z file content to reflect Working Context and Table of Content



2.10.3 Menu

IMP The IUCLID menu, accessible from the Dashboard, has been updated taking into account all latest developments in this release and making the web interface the main one to use (i.e. the link to the IUCLID client is now hidden; more information can be found on the following page: https://iuclid6.echa.europa.eu/web/iuclid/learn-to-use-the-new-interface).

Ref. IUCVI-16363

IMP The startup menu of IUCLID Desktop has been updated to display only the link to the web interface of IUCLID



3 VERSION 6.4.19.2 – ECHA CLOUD ONLY (13 JULY 2020)

This service release introduces new features, improvements and fixes that are presented by category in the following sections.

This version has been made available only to the users of the <u>ECHA Cloud Services</u>. The next version of IUCLID distributed to all users will be available at the end of October 2020 on the IUCLID website.

All issues reported below are relevant to the web interface of IUCLID unless specified otherwise.

3.1 Annotations

IMP Display modification history for annotations

Ref. IUCVI-14525

IMP Manage attachments for annotations

Ref. IUCVI-12729

NEW All annotations in a dossier can be exported as one zip archive

Ref. IUCVI-12081

3.2 Article

NEW Article referencing

Ref. IUCVI-15744

3.3 Data edition

NEW Simplified GHS document and labelling calculation is now available in the dataset view for Poison Centre Notifications

Ref. IUCVI-15890

NEW Simplified forms are displayed for Poison Centre Notifications in the dataset view

Ref. IUCVI-15297

NEW A new navigation tree is available for datasets. Document creation and deletion operations are supported.

Ref. IUCVI-15304

FIX It is now possible to select several STOT classifications in the simplified GHS form



3.4 Dossiers

IMP Support for opt-out in REACH registration dossiers for members of a joint submission

Ref. IUCVI-14992

3.5 Import

NEW Zip archive containing i6z files can be imported

Ref. IUCVI-16155

3.6 Report generator and create PDF

IMP Report generation now available for articles

Ref. IUCVI-15914

IMP Creation of PDF files for components of a dossier

Ref. IUCVI-15163

FIX Create PDF for a single document is available again

Ref. IUCVI-15786

3.7 Search

NEW Advanced search options for article-based dossiers

Ref. IUCVI-15868

NEW Advanced search options for mixture-based dossiers

Ref. IUCVI-15867

IMP UUIDs can be used in the quick search for templates, for copying documents and for list of entities

Ref. IUCVI-15852

NEW Advanced search options for substance-based dossiers

Ref. IUCVI-15449

3.8 Validation assistant

IMP Validation assistant report can be filtered by rule level or type

Ref. IUCVI-14681

IMP Validation assistant report can be exported as an Excel file



IMP The TCC_0305_08 rule, related to that the technical function of the substance requires article service life to be reported, was changed from failure to warning (QLT186). As for all quality rules reported by the Validation assistant, when QLT186 is triggered, it is recommendable to review the corresponding information reported in IUCLID.

3.9 Miscellaneous

NEW PNEC calculator added to fill automatically the ecotoxicological information summary

Ref. IUCVI-15766

IMP Dossier selection for dossiers comparison can now be done using the dossier UUID

Ref. IUCVI-14775

NEW Hierarchical phrase groups: complex picklists can now be organized in different levels, facilitating the selection of the correct entry by the user (e.g. article category, EU PCS codes)

Ref. IUCVI-15586 and IUCVI-16122



4 VERSION 6.4.14.2 – PATCH RELEASE (7 AUGUST 2020)

This patch release includes six bug fixes that are described below.

4.1 Installation

FIX The update can proceed even if IUCLID uses an external Derby database installed in the IUCLID server structure. Please note that we recommend avoiding placing the javadb in glassfish's internal structure, i.e. glassfish\domains\domains\domain1\databases.

Ref. IUCVI-16248

4.2 Printing

FIX The user is able to print individual IUCLID documents from the classic user interface

Ref. IUCVI-16259

FIX The user is now able to print using the web interface's document selection, which failed when certain combinations of documents were selected

Ref. IUCVI-15113

4.3 User Management

FIX The correct information is presented in the web interface for users with all roles assigned or 'Access all' users

Ref. IUCVI-16460

FIX The user is now requested to enter the current password when wanting to change their password in the web interface

Ref. IUCVI-16282

FIX The user is now able to use special characters when setting their password such as !, ", £, in the web interface



5 VERSION 6.4.14.1 (29 APRIL 2020)

This service release introduces new features, improvements and fixes that are presented by category in the following sections. In order to have an overview of the changes since the last public release of IUCLID, in October 2019, please refer to the release notes for the following IUCLID versions: 6.4.14.1 (this section), 6.4.9 and 6.4.8.

This version has been made available to all IUCLID users on the <u>IUCLID website</u>, on the 29th of April 2020. <u>ECHA Cloud Services</u> are updated to this version the week following the publication of the April 2020 IUCLID release.

All issues reported below are relevant to the web interface of IUCLID unless specified otherwise.

5.1 Articles

FIX Hide empty fields in articles dossiers

Ref. IUCVI-15463

IMP Generate UUID (unique identifier) for a new article

Ref. IUCVI-15107

FIX 'Other:' field cannot be changed after selection

Ref. IUCVI-15542

FIX Searching by article dossier UUID redirects to error page

Ref. IUCVI-15487

FIX Article's view page is unresponsive when many reference substances are linked to article

Ref. IUCVI-15472

FIX Article navigation tree is not refreshed when user edits the article from the VA report

Ref. IUCVI-15314

5.2 Comparison

FIX Compare list not loaded the second time user chooses to compare dossiers

Ref. IUCVI-15453

FIX Comparison report does not display all field-level changes anymore

Ref. IUCVI-15351

IMP Comparison report of two dossiers must be supported for multilingual fields



5.3 Copy

NEW Bulk copy for dataset records of mixtures, substances and templates

Ref. IUCVI-13209

FIX Copied records now have a -COPY suffix

Ref. IUCVI-14334

5.4 Category

NEW Create a substance dossier including category information

Ref. IUCVI-15673

NEW Create a category dossier

Ref. IUCVI-15672

NEW Create PDF, export and import categories

Ref. IUCVI-14440

NEW Create and edit a category

Ref. IUCVI-13911

NEW Delete a category

Ref. IUCVI-13910

NEW List categories

Ref. IUCVI-12513

5.5 Datasets and dossiers

IMP Access to the draft dossier header information simplified

Ref. IUCVI-15040

NEW Navigation tree for substance and mixture dossiers, including the possibility to navigate, in context, to all related information.

Ref. IUCVI-15563

IMP Alignment of the views and user interface components between the datasets and dossiers

Ref. IUCVI-14965

NEW Possibility to view simplified IUCLID documents displaying only relevant fields for selected submission type (for Poison Centres)

Ref. IUCVI-15633, IUCVI-15539

NEW Simplified Classification and Labelling document, including a Labelling calculator, now available in dataset view for Poison Centres

Ref. IUCVI-15890

FIX "Mandatory field" messages should not be displayed in populated table fields of dossiers



Ref. IUCVI-15820

FIX The tonnage band is not mandatory anymore for CLP notifications

Ref. IUCVI-15753

FIX Incorrect representation of references in the Guided dossier approach

Ref. IUCVI-15545

FIX Document name deleted after an attachment upload

Ref. IUCVI-15540

FIX Other option is not displayed in the RegulatoryProgrammeFlags of export i6z sliding window

Ref. IUCVI-15506

FIX Alignment of headers in table view

Ref. IUCVI-15404

FIX Text wrapping in table view

Ref. IUCVI-15403

FIX Create PDF for individual document in PCN dossier: multilingual fields not included

Ref. IUCVI-15379

FIX Linking a document to itself triggers issue

Ref. IUCVI-15191

FIX Field help is not displayed in dossier headers

Ref. IUCVI-15148

FIX PCN: Dynamic content rules on Product details - Colour and physical state

Ref. IUCVI-15110

FIX Internal document reference: UUID displayed instead of the reference name

Ref. IUCVI-14828

FIX Order of the text templates' options in section 1.2 (substance composition) is random

Ref. IUCVI-13546

5.6 DNEL calculator

NEW Calculate DNEL in the Summary of toxicological information

Ref. IUCVI-14502

5.7 Inventories

NEW Manage sites inventory



NEW Manage contacts inventory

Ref. IUCVI-12548

NEW Manage literature references inventory

Ref. IUCVI-12546

NEW Manage test materials inventory

Ref. IUCVI-12541

5.8 Report generator

IMP Update of report templates (PCN preview report and Chemical Safety Report)

Ref. IUCVI-15799

5.9 Validation assistant

IMP Update of the configuration for Australia

Ref. IUCVI-15758, IUCVI-15757

IMP Update for EU Plant Protection Regulations

5.9.1 REACH

NEW and **IMP** automated checks on key hazard endpoints, IUCLID sections 5.2.1, 5.2.2, 5.2.3, 7.6.1. 7.6.1, 7.8.1 and 7.8.2 following the revision of the completeness check.

NEW completeness check rules for nanoforms and sets of nanoforms for registration dossiers; the new rules ensure that each legal entity composition has a reference to a boundary composition covering the nanoform or set of nanoforms.

NEW completeness check rules for nanoform specific requirements in IUCLID sections 5.2.2 and 6.1.3 related to data waiving.

NEW completeness check rules for IUCLID section 3.5 – detection of missing Article service life based on information provided in other uses.

NEW completeness check rules checking completeness of the summaries provided in sections 6 and 7 in joint submission member dossiers.

5.9.2 SCIP

NEW SCIP quality rules added to warn that if obligatory information is provided then the provided information should be complete. (QLT703, QLT704, QLT706, QLT707, QLT708, QLT709, QLT710, QLT711, QLT712, QLT713).

NEW SCIP rules added to prevent that same information would be provided multiple times in error (BR720, BR721, BR722).

IMP SCIP rule requiring to indicate 'Candidate list version' (BR713) and rule requiring to include 'Number of Units' (BR712) were deactivated.



5.9.3 Poison Centre Notifications (PCN)

NEW PCN rule checking concentration range: If concentration range is reported then qualifiers must be included (BR625). **IMP** of the rule BR607 checking that only positive values allowed as concentration. **IMP** rule following the requirement to provide same legal entity in dossier header and Mixture (BR520) was deactivated.

NEW PCN rule checking that mixtures Classification information is provided (BR546 and BR613).

IMP PCN rule BR592 changed from failure to warning QLT592.

IMP PCN rules modified to reflect the 1st amendment of legal text (Annex VIII): identification of 'Mixture in mixture' related rules deactivated (BR579, QLT512) **IMP** BR527, BR606.

NEW PCN rule to check that the supplier of Mixture in mixture is not the same as Duty holder added (BR624).

IMP PCN fragrance related rules modified (BR583, BR603, BR590) and deactivated (BR616).

NEW rules and validation scenarios for EU_PPP submissions, EFSA (European Food Safety Agency).

5.9.4 Known issues and future improvements

- Due to the possibility to add several endpoint records in section 1.3 Regulatory identifiers, some business rules checking content in that section do not work properly if several endpoint records are created.
- The ordering of the failures or warnings reported in the web interface of IUCLID is different from the ordering of the issues in the classic user interface. This will be corrected in future releases.
- Validation of characterisation of nanoforms and sets of nanoforms to be available also for boundary compositions.
- Referencing to previously submitted notification not yet possible (SCIP)
- Validation report links: the links from validation report failures to the corresponding dataset sections do not work correctly in all occasions. The behaviour will be improved in a subsequent IUCLID release.
- PCN 'Group submission' notifications are not implemented yet, therefore it is not
 possible to validate Group submissions at the moment

5.10 Search

NEW Advanced search for Articles list (not dossiers)

Ref. IUCVI-15623

NEW Advanced search for Mixtures list (not dossiers)

Ref. IUCVI-15622

NEW Advanced search for Substances list (not dossiers)



5.11 User settings

NEW Possibility to change the working Legal Entity

Ref. IUCVI-15566

NEW Change user's password

Ref. IUCVI-15565

NEW View user information, including roles and security groups (when Instance Based Security is activated)

Ref. IUCVI-15564

5.12 Miscellaneous

IMP Differentiation between the icons for 'close' and 'delete' actions

Ref. IUCVI-15209

IMP UUID (unique identifier) of IUCLID documents are now displayed

Ref. IUCVI-15116

NEW Users are now informed in case the connection to the IUCLID server or database is not available

Ref. IUCVI-14780

IMP Update of the IUCLID functionalities manual

Ref. IUCVI-15834

IMP Update of GlassFish distribution to newer version (Payara)

6 VERSION 6.4.9 – ECHA CLOUD ONLY (17 FEBRUARY 2020)

This service release introduces new features, improvements and fixes that are presented by category in the following sections.

This version has been made available only to the users of the <u>ECHA Cloud Services</u>. The next version of IUCLID distributed to all users will be available on the 29th of April 2020 on the IUCLID website.

All issues reported below are relevant to the web interface of IUCLID unless specified otherwise.

6.1 Annotations

FIX The second Remarks field can now be populated

Ref. IUCVI-15409

6.2 Articles

NEW Submit you SCIP notification online when working in the Cloud Services

Ref. IUCVI-14819

NEW View article-based dossiers

Ref. IUCVI-15186

NEW User journey for Articles

Ref. IUCVI-15255

FIX The Description field cannot be filled in by a user in the sliding window

Ref. IUCVI-15273

FIX Display of annotations and modification history

Ref. IUCVI-15320

FIX Attachment cannot be added to the document when 'Safe use instructions' is not empty

Ref. IUCVI-15323

6.3 Poison Centres Notifications (guided dossier approach)

FIX Dossier submission remark can be entered

Ref. IUCVI-15333

6.4 REACH registration (guided dossier approach)

FIX Guidance on safe use fails at first step



6.5 Validation assistant

FIX Dynamic content rule is not working for the dossier header accessed from the validation assistant

Ref. IUCVI-15200

FIX The user is not able to create a CLP notification without providing a tonnage band

Ref. IUCVI-15244

6.6 Web interface

FIX Attachments made at the document level can now be deleted in datasets even when attachment is already included within the document itself.

Ref. IUCVI-12977

NEW Export reference substance from the inventory

Ref. IUCVI-15263

IMP Advanced export options are now customised depending on the export possibilities available for each entity.

Ref. IUCVI-15332

NEW Inventory of Legal entities

Ref. IUCVI-15288

FIX CLP notification: the tonnage band is not mandatory anymore



7 VERSION 6.4.8 – ECHA CLOUD ONLY (27 JANUARY 2020)

This service release introduces new features, improvements and fixes that are presented by category in the following sections.

This version has been made available only to the users of the <u>ECHA Cloud Services</u>. The next version of IUCLID distributed to all users will be available on the 29th of April 2020 on the IUCLID website.

All issues reported below are relevant to the web interface of IUCLID unless specified otherwise.

7.1 Articles

NEW Support of a new entity 'Article' for preparing notifications under the EU Framework Directive. More information on https://echa.europa.eu/scip-database

Ref. IUCVI-14805

7.2 Chemical Safety Report (CSR)

IMP Data from section 7.8.3 'Toxicity to reproduction: other studies' are now extracted to the CSR.

Ref. IUCVI-14676

7.3 Clipboard / copy

NEW Clone entities, including substance and mixture datasets

Ref. IUCVI-14485

7.4 Dossier

NEW A previously selected submission type can now be removed from the list of submission types. The related dossier header is deleted at the same time.

Ref. IUCVI-12617

7.5 Data entry / edit

FIX Numeric values are not incorrectly rounded anymore

Ref. IUCVI-15147

FIX Repeatable blocks are now numbered in ascending order



7.6 Filtering / dissemination preview

IMP Filtering is now available for mixtures

Ref. IUCVI-15159

IMP Specific filtering configuration can be applied per submission types

Ref. IUCVI-15160

7.7 i6z files

FIX Optional fields from manifest.xml are not required anymore during import.

Ref. IUCVI-15098

7.8 Poison Centres Notifications

NEW Simplified form for entering and updating Classification and Labelling information. The new form includes a 'Calculate' option to automatically present to the user the labelling corresponding to the specified classification.

Ref. IUCVI-12224

NEW Inline editing previously available in other parts of IUCLID is now available for the forms included in the guided dossier approach.

Ref. IUCVI-14781

NEW A new user interface component is available to select the C&L pictograms.

Ref. IUCVI-14949

NEW Dynamic content improved.

Ref. IUCVI-14736

IMP Updated dynamic content rules in the dossier header for the Poison Centres Notifications

Ref. IUCVI-13968

IMP Support of multilingual fields for Poison Centre Notifications in the standard IUCLID view (for dossiers and datasets)

Ref. IUCVI-14747, IUCVI-15123

IMP Multilingual fields supported in Create PDF

Ref. IUCVI-15118

IMP Tasks for the guided dossier approach for Poison Centre Notifications have been simplified

Ref. IUCVI-14459, IUCVI-14457, IUCVI-14523

7.9 Print

NEW Create PDF for the entire dataset is now available when specific records are displayed Ref. IUCVI-14743



7.10 Validation assistant

NEW Completeness check rules: TCC_070601_A01, TCC_070601_A02, TCC_070601_A03 for section 7.6.1 - Genetic toxicity in vitro. These rules are part of the upcoming completeness check revision for REACH registrations. The rules are not part of the completeness check carried out by ECHA until April 2020.

7.11 Web user interface

FIX Dynamic content for 'Use takes place under rigorously contained conditions' fixed to display the related field correctly

Ref. IUCVI-14885

FIX Dynamic content rules for the document 'Article service life advised against'

Ref. IUCVI-15026

FIX Document selection: disabled sections are now displayed as disabled.

Ref. IUCVI-15052

FIX Dynamic content rules in the dossier header for 'REACH member of a joint submission - standard'



8 VERSION 6.4.2 (30 OCTOBER 2019)

The web user interface, which is meant to become in the future the only user interface for IUCLID, has been improved. This version includes, for example, direct navigation to referenced documents in dossiers, advanced dossier creation, export and printing options including document selection, and a slightly more compact view for documents.

As in every major update of IUCLID, this version also comes with an update of the format to take into account:

- the latest evolutions of the REACH (for example, new nanoforms requirements)
 and CLP regulations (latest ATPs);
- the future database on substance of very high concern in articles; and
- the needs of several regulatory organisations including the European Food Safety Authority (EFSA) and the organisations managing chemicals in Australia and New Zealand.

Note on the versioning: IUCLID 6 version 4.2.1 is the latest official IUCLID 6 release since version 3.16.1 published in April 2019. The versioning of the official releases available on the IUCLID 6 website is not consecutive as other intermediate versions of the application can be made available to specific users or groups of users, for example to:

- answer some specific bugs experienced by users,
- provide new features relevant to a specific users group,
- provide fixes to the <u>ECHA Cloud Services</u> users.

8.1 IUCLID definition providers

The format information is available on the IUCLID 6 website:

https://iuclid6.echa.europa.eu/format

This page contains all information concerning the most recent version of the IUCLID 6 format as well as the documentation of the previous format versions.

8.2 Web interface

8.2.1 Dossiers

IMP View for dossier content improved

Ref. IUCVI-14316

NEW Inline editing implemented for dossier headers



NEW View category information in a dossier

Ref. IUCVI-14396

NEW Document selection for dossier creation

Ref. IUCVI-14592

NEW Simple URL to view a dossier. Specifically, a link of the form:

<a href="http://<IUCLID_SERVER>/iuclid6-web?dossier=<DOSSIER_UUID> navigates the user to the dossier subject page.">dossier=<DOSSIER_UUID> navigates the user to the dossier subject page.

Ref. IUCVI-14675

8.2.2 Document edition

NEW Support of dynamic content rules. The web interface now implements the support of conditional display of fields depending on user input. Depending on the data entered in a form, some fields, picklist items or sections will be shown/hidden, enabled/disabled or made mandatory

Ref. IUCVI-14553

NEW Reference substances now support inline editing

Ref. IUCVI-14366

FIX Additional text is now displayed in picklists

Ref. IUCVI-14004

NEW Templates can now be deleted

Ref. IUCVI-13896

NEW User is warned when leaving a page with unsaved changes

Ref. IUCVI-12281

8.2.3 Export

NEW Export to the previous major version is now possible

Ref. IUCVI-14693

NEW Advanced export options

Ref. IUCVI-13629

NEW Document selection for export

Ref. IUCVI-14656

8.2.4 Performance improvements

IMP Improved performance for searches on dossiers

Ref. IUCVI-14409

IMP Improve performance of loading a dataset



Ref. IUCVI-14446

IMP Improve performance of importing a file with many documents

Ref. IUCVI-13718

IMP Improve performance of loading users and groups (particularly in sharing and change ownership operations)

Ref. IUCVI-14529

8.2.5 Print (create PDF)

NEW Advanced print options

Ref. IUCVI-13630

NEW Document selection for print (datasets only)

Ref. IUCVI-14655

FIX Annotations are not printed with dossiers

Ref. IUCVI-14068

8.2.6 User interface improvements

IMP Reduced white space in document window

Ref. IUCVI-14498

IMP Table component to represent relevant repeatable set of fields for the dataset view

Ref. IUCVI-14497

IMP Representation of linked entities and documents is now based on key fields from these documents. For the dataset views only.

Ref. IUCVI-14496

IMP Better differentiation between datasets, dossiers and reusable entities

Ref. IUCVI-14005

IMP Clearer icons for documents

Ref. IUCVI-13961

IMP possibility to open referenced documents and entities in a sliding window for dossiers

Ref. IUCVI-13406

IMP The confidentiality and regulatory programmes flags are now displayed more clearly for datasets

Ref. IUCVI-13355

8.2.7 Miscellaneous

NEW View the modification history of the document displayed



NEW Provide a link to the error report of a background job (e.g. for a failed import)

Ref. IUCVI-14389

NEW Display a progress indicator for a background job

Ref. IUCVI-14388

FIX Bulk import fails sporadically with large set of files

Ref. IUCVI-12419

8.3 Article and SCIP database

For more information, please refer to https://echa.europa.eu/scip-database

NEW Support import of a SCIP dossier (article-based)

Ref. IUCVI-14567

NEW Article entity (not yet available at the user interface level)

Ref. IUCVI-14471

8.4 Chesar

IMP Support the two latest versions of Chesar. The latest version of IUCLID will now be compatible with the existing Chesar version (at the time of publication) and the next one.

Ref. IUCVI-13984

8.5 Dossier comparison

IMP Dossier comparison has now been removed from the classic user interface as the new comparison report has been implemented in the web interface only

Ref. IUCVI-14832

8.6 Filtering module

IMP Filtering configuration for REACH dossiers updated to take into account the updated format of IUCLID

Ref. IUCVI-14908

8.7 Guided dossier approach for Poison Centre Notifications (PCN)

NEW Create a GPI component

Ref. IUCVI-13957

FIX Additional labelling requirements added



NEW Start a PCN dossier from an existing mixture

Ref. IUCVI-14085

NEW (only in the Cloud version) Possibility to submit a PCN online

Ref. IUCVI-13486

IMP Revised form content for product information and composition components

Ref. IUCVI-14464, IUCVI-14463, IUCVI-14462, IUCVI-14461

FIX Legal entity is included by default for PCN dossiers

Ref. IUCVI-14359

FIX Several documents are created unintentionally by the user

Ref. IUCVI-13889

8.8 Help system

IMP Oracle help updated for the classic interface

Ref. IUCVI-14988

FIX The help text for headers is now aligned correctly in the web interface

Ref. IUCVI-14984

IMP Update of the user manual for the web interface

Ref. IUCVI-14875

IMP Help links updated in the REACH guided dossier preparation approach

Ref. IUCVI-14874

NEW Link to dossier preparation manuals added to the top bar of the web user interface

Ref. IUCVI-14868

NEW Link to the LinkedIn IUCLID group added to the top bar of the web user interface

Ref. IUCVI-13598

IMP Help text displayed for repeatable blocks in the web user interface

Ref. IUCVI-14839

IMP Update to User Journeys

Ref. IUCVI-14518

FIX Help text is now available for endpoint summaries

Ref. IUCVI-13587

8.9 Installation / start-up

FIX Insertion of a missing part of the domain.xml configuration file that was preventing the IUCLID server to restart



FIX Launching the IUCLID client is not available anymore for Desktop installations

Ref. IUCVI-14434

IMP Improve error message displayed when the user tries to start two conflicting installations of IUCLID on the same computer

Ref. IUCVI-14418

IMP The IUCLID client can be launched from the menu directly without accessing a new page first

Ref. IUCVI-14060

8.10 Report generator

The Report Generator wizard contains all the in-built reports which ECHA has made available to IUCLID users.

The reports available for generation from a **substance dataset or dossier** include:

- Chemical safety report (CSR)
- List of attachments for substance datasets and dossiers in RTF format
- Classification and labelling report for lead registrants
- Literature References report for substance and mixture/product datasets and dossiers in CSV format
- Literature References report for substance and mixture/product datasets and dossiers in RTF format (re-added for substances)

The reports available for generation from a **mixture/product dataset or dossier** include:

- Summary of product characteristics (SPC) report
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in PDF/RTF formats
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in CSV format
- Literature references report for substance and mixture/product datasets and dossiers in RTF format
- Literature References report for substance and mixture/product datasets and dossiers in CSV format
- List of attachments for biocidal mixture/product datasets and dossiers in RTF format (removed and replaced by the CSV version)
- List of attachments for biocidal mixture/product datasets and dossiers in CSV format
- List of annotations for biocidal mixture/product datasets and dossiers [PDF/RTF]
- PCN Preview report (Annex VIII of the CLP Regulation) [PDF]

All report templates above, as well as other report templates, can be found from the IUCLID 6 website and uploaded into a IUCLID 6.4 instance via the IUCLID Report Manager:

https://iuclid6.echa.europa.eu/reports



Note: To upload your own report templates, you will still need to use the IUCLID Report Manager in the classic user interface. When uploading a report, you will see the report available in both the classic and the new (web) user interfaces.

8.10.1.1 New report template functionality

IMP Server URL (hostname/port) must be available to reporting engine

Ref. IUCVI-13012

This new functionality allows report templates to include a hyperlink which opens the IUCLID web interface for either:

- The table of contents of a composite entity (i.e. a substance, mixture, template) or,
- A IUCLID document, such as the endpoint study record for acute toxicity (oral).

The hyperlinks will only appear for reports generated from the web interface. The following embedded reports include such hyperlinks:

- Chemical safety report (CSR)
- List of attachments for substance datasets and dossiers in RTF format
- Literature References report for substance and mixture/product datasets and dossiers in CSV format
- Literature References report for substance and mixture/product datasets and dossiers in RTF format
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in CSV formats
- Literature references report for substance and mixture/product datasets and dossiers in RTF format
- Literature References report for substance and mixture/product datasets and dossiers in CSV format
- List of attachments for biocidal mixture/product datasets and dossiers in CSV format
- List of annotations for biocidal mixture/product datasets and dossiers [PDF/RTF]

A freemarker report template can be used to embed a URL for the entity or document inside a piece of text with the following calls:

Entity: iuclid.webUrl.entityView(<entity>.documentKey)

<u>Document</u>: iuclid.webUrl.documentView(<document>.documentKey)

In case of a composite entity (substance, mixture or template), iuclid.webUrl.entityView(...) and iuclid.webUrl.documentView(...) will render different URLs: the former pointing to the "Table of contents of the main entity", while the latter to the "IUCLID document".

Here is a theoretical example of a URL which will open a IUCLID document which is embedded inside the text 'This is a link to a document':



Here is a practical example from the annotations report which embeds a hyperlink to the mixture table of contents into the mixture name which is displayed at the start of the report:

8.10.1.2 Summary of updated reports

All reports embedded inside IUCLID have been updated to the latest 6.4 format. The significant changes are described below per report:

- Chemical safety report (CSR) (see the section on CSR below for the fixes and improvements)
 - Characterisation of nanoforms section was adapted to the latest format
 - Adaptation of the physcochemical properties table for substances with assessment entity
 - Hyperlinks included in the CSR generated from the web interface pointing to the relevant section of IUCLID
 - Annex 1 References content streamlined
- List of attachments for substance datasets and dossiers in RTF format
 - Report now includes new attachments in the:
 - Reference substance
 - Analytical information (1.4)
 - Substance composition (1.2)
- Literature References report for substance and mixture/product datasets and dossiers in CSV format
 - Expanded the report to include more information from the (eco)toxicological studies in which the literature reference is contained, including:
 - Species / test organism
 - Strain / cell type
 - Route of application / dose method
 - Exposure duration
 - Metabolic activation
 - Metabolic activation system
 - Study outcome (value/result)



- A matrix of what new study information has been added is published on the IUCLID 6 website, under the Report Generator webpage.
- Literature References report for substance and mixture/product datasets and dossiers in RTF format (re-added for substances)
 - Report re-designed based on feedback from the BPR IT Tools Group for inclusion inside a Product Authorisation Report (PAR)
 - Re-organisation of information to help make the table clearer, whilst retaining the information on one table row
 - Added the Report date
 - Added the Type of publication
 - Removed the 'Applicable for assessment report' column
- List of attachments for biocidal mixture/product datasets and dossiers in CSV format
 - o Added the name of Biocidal product or component per row
 - Added the function of the Biocidal product component per row
- PCN Preview report (Annex VIII of the CLP Regulation) [PDF]
 - Updated the dossier header information to capture voluntary submissions and the justification for the update.

8.10.1.3 Other fixes and improvements

CSR

IMP URL implementation - make consistent across stylesheets

Ref. IUCVI-14961

IMP Data Extraction-Toxicity for reproduction: other studies

Ref. IUCVI-14676

FIX CSR generation PBT assessment (section 8) - space added

Ref. IUCVI-11165

IMP do not print the label "report date" references (Annex 1)

Ref. IUCVI-14701

PCN

FIX PCN Preview report generation - document is generated broken

Ref. IUCVI-14801

FIX Preview PCN in pdf does not correctly format rich text (toxicological information)

Ref. IUCVI-14516

FIX Improve rendering of rich text pasted from HTML in PCN preview report

Ref. IUCVI-14337

Report template - general

FIX & character in attachment filename causes PDF export to fail

Ref. IUCVI-14990

FIX URL wrapping in CSV (Excel) - comma separation issue



Ref. IUCVI-14962

FIX Generate report fails for substance datasets and dossiers in RTF format

Ref. IUCVI-14944

FIX Literature reference report (rtf) fails due to special characters not being escaped

Ref. IUCVI-14938

8.11 Validation assistant

NEW completeness check rule checking that the 'State/form' of a composition is specified. The rule supports the completeness check implementation for nanoforms of substances.

NEW completeness check rules for characterisation of nanoforms and sets of nanoforms for registration dossiers; corresponding business rules for inquiry notifications.

NEW completeness check rules for nanoform specific requirements in sections 4-7.

NEW completeness check rules for sections 4.28.8 'Nanomaterial dustiness' and 5.7.1 'Dispersion stability of nanomaterials'.

NEW updated business rules to reflect the end of the phase-in scheme for REACH registrations.

NEW Validation scenarios created for the new submission types 'AICIS Certificate application - Health and environment focus', 'AICIS Certificate application - Health focus', 'AICIS Certificate application - Very low to low risk' and 'AICIS Certificate application - Comparable hazard assessment'. The scenarios have been configured with a preliminary set of business rules, completeness check rules and quality rules; to be extended in coming releases.



9 VERSION 3.16.1 (24 APRIL 2019)

Please also refer to the Frequently Asked Questions on the IUCLID 6 website.

The releases notes are highlighting changes compared to previous versions of IUCLID 6. In the next sections, improvements are identified with IMP and bug fixes with FIX.

The April service release features improvements to the web interface such as:

- Inline editing (merging the read and edit views)
- Clearer navigation within a dataset and enhanced data edition mode
- The full report generator
- Full management of reference substances
- Improved comparison and validation reports
- More advanced dossier creation and import

It includes in addition a new guided dossier preparation for Poison Centre Notifications (PCN), which allows industry to prepare notifications for hazardous mixtures (Article 45 and Annex VIII to the CLP Regulation). Guided Dossier Preparation provides a simplified, task-based, approach to dossier creation. More information can be found on the Poison Centres website.

This service release does not bring changes to the IUCLID format. Hence, it remains fully compatible with IUCLID 6.3 It accepts all files from IUCLID 5.6 and later, while it can also export files that are compatible with IUCLID 6.2.

This new version takes into account the update of the release policy of Java provided by Oracle and the related changes are embedded in the installers and updaters published for this new IUCLID version.

The IUCLID Cloud services have been automatically updated to the latest version.

Note on the versioning: IUCLID 6 version 3.16.1 is the latest official IUCLID 6 release since version 3.1.1 published in October 2018. The versioning of the official releases available on the IUCLID 6 website is not consecutive as other intermediate versions of the application can be made available to specific users or groups of users, for example to:

- answer some specific bugs experienced by users,
- provide new features relevant to a specific users group,
- provide fixes to the ECHA Cloud Services users.

9.1 IUCLID functionalities

In preparation for the transition from the classic user interface to the new web interface (introduced with IUCLID 6.3, in October 2018), the vast majority of the fixes and improvements delivered in newer versions of IUCLID are made to the web interface.



9.1.1 Clipboard

FIX In the web interface, attachments were not copied together with the document.

Ref. IUCVI-13454

9.1.2 Comparison (web interface)

IMP View the differences in referenced documents

Ref. IUCVI-9458

IMP Improvements to the layout and the organization of the content of the HTML comparison report

Ref. IUCVI-13323, IUCVI-13583

IMP List of dossiers to be compared is now sorted (newer first)

Ref. IUCVI-13340

9.1.3 Data entry and navigation

NEW The data edition mode for IUCLID documents has been completely revisited in the web interface. Instead of switching from read to edit mode, the user has the possibility to edit directly the relevant IUCLID fields.

Ref. IUCVI-13627

NEW Reference substances can be fully managed from the web interface (search, list, creation, edition, deletion)

Ref. IUCVI-13856, IUCVI-13867, IUCVI-13868, IUCVI-13898

IMP Clickable area for checkboxes are including their labels

Ref. IUCVI-12288

NEW When a reference needs to be added to another document, the web interface proposes available documents to be linked and, in addition, gives the option for the user to create a new relevant document

Ref. IUCVI-13019

IMP Sliding windows can now be closed by clicking on another part of the interface

Ref. IUCVI-13545

NEW The relevant table of content is now displayed to the user while browsing the content of a dataset

Ref. IUCVI-13626

FIX Documents from an inherited template are now displayed in the correct order

Ref. IUCVI-13087

IMP The user interface component used to edit rich text fields (HTML) has been updated to offer more formatting options and a better rendering of the information pasted to the fields



FIX Picklist can now be opened when clicking the arrow on the right side of the field

Ref. IUCVI-13409

IMP Improvement of the representation of repeatable sets of fields

Ref. IUCVI-13375

FIX 'Go to source' option is not displayed anymore when the corresponding dataset is not available for a dossier

Ref. IUCVI-14048

IMP There is now a possibility to navigate to the components of a mixture in a dossier and in a dataset

Ref. IUCVI-14051

9.1.4 Dossier

NEW Dossiers can now be created with advanced options in the web interface. Document selection is not yet available though.

Ref. IUCVI-12544

FIX Dossier creation default settings are fixed in the web user interface (i.e. legal entities are not included by default)

Ref. IUCVI-13891

9.1.5 Import

NEW Advanced import options are now available from the main page of the IUCLID web interface. It offers the possibility to select the overwrite mode and, if Instance-Based Security is activated, the destination security group. Document selection before import is not yet available in the web interface.

Ref. IUCVI-12273

NEW Summary of Product Characteristics XML files generated with <u>ECHA SPC editor</u> can now be imported as IUCLID dossiers.

Ref. IUCVI-13300

9.1.6 Lists of substances and mixtures (web interface)

IMP The list of substances and mixtures and their related dossiers are not grouped anymore by substances or mixtures. All dossiers and datasets are now displayed directly in the lists.

Ref. IUCVI-13864

IMP The legal entity is now displayed in the lists of substances and mixtures



9.1.7 Menus and options

IMP Options available for a specific dossier or dataset are now placed in a menu

Ref. IUCVI-12611

9.1.8 Poison Centres Notification (PCN) wizard

NEW A new guided dossier approach is available in the web interface of IUCLID. It supports the creation of the Poison Centres Notifications (PCN) in the European Union. Currently, the PCN guided dossier approach does not support Group submissions.

More information can be found on the Poison Centres website:

https://poisoncentres.echa.europa.eu/

Ref. IUCVI-12945

9.1.9 Search

FIX In the web interface, mixture-based dossiers could not be searched by submission type.

Ref. IUCVI-13452

9.2 IUCLID modules

9.2.1 Dissemination preview

IMP Several improvements to the dissemination preview report: ordering of the report according to the sections numbering, removed redundant information for filtering results of repeatable set of fields, dossier header fields are displayed at the beginning of the report.

Ref. IUCVI-13878

9.2.2 Fee calculator

NEW The fee calculator is now available in the web user interface

Ref. IUCVI-13207

9.2.3 Help system

IMP Update of the IUCLID functionalities manual in the web interface

Ref. IUCVI-14263

9.2.4 Report generator

NEW IUCVI-13178: The full Report Generator Wizard is now available from the IUCLID web user interface for the applicable datasets or dossiers. To generate a report, the user should go



into a dataset or dossier, click on the menu icon in the top right-hand corner, select 'Generate report' and then, from the list of available reports, select a report to generate.

Note: To upload your own report templates, you will still need to use the IUCLID Report Manager in the classic swing user interface. When uploading a report here, you will see the report available in the Report Generator Wizard in both the classic and web user interfaces.

The Report Generator wizard contains all the in-built reports which ECHA has made available to IUCLID users. The reports available for generation from a **substance dataset or dossier** include:

- Chemical safety report (CSR)
- Literature references report for substance and mixture/product datasets and dossiers
- List of attachments for substance datasets and dossiers
- Classification and labelling report for lead registrants
- Literature references csv report for substance and mixture/product datasets and dossiers NEW

The reports available for generation from a **mixture/product dataset or dossier** include:

- Summary of product characteristics (SPC) report
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in PDF/RTF formats
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in CSV formats
- Literature references report for substance and mixture/product datasets and dossiers
- <u>Literature references csv report for substance and mixture/product datasets and dossiers</u> NEW
- List of attachments for biocidal mixture/product datasets and dossiers (in RTF format)
- List of attachments for biocidal mixture/product datasets and dossiers (in CSV format)
- List of annotations for biocidal mixture/product datasets and dossiers
- Preview PCN (in PDF) NEW

All report templates above, as well as other report templates, can be found from the IUCLID 6 website and uploaded into your own IUCLID instance via the IUCLID Report Manager:

https://iuclid6.echa.europa.eu/reports

9.2.4.1 Summary of new reports

Literature references csv report for substance and mixture/product datasets and dossiers

This report is based on the existing literature reference report but includes more information per endpoint, and is in CSV format so that the relevant IUCLID data can be viewed in a tabular format. The new information included in this report (if included in the endpoint) is listed below:

- Indication of whether the study is a Robust Study Summary
- Data waiving
- Type of information
- Reliability
- Data Access



- Test Material Guideline
- GLP Compliance
- Test Material name and reference substance information
- Indication of whether endpoint is flagged as confidential

Preview PCN (in PDF)

Based on Article 45 and Annex VIII to the CLP Regulation companies placing hazardous mixtures on the market have an obligation to provide information on these mixtures to appointed bodies. As part of this obligation, there is now a PCN Preview Report which summarises that information. The report is an in-built report inside IUCLID, and in addition to being launched from the web interface, can also be launched through the Guided Dossier Preparation task screen 'Preview notification'.

IMP IUCVI-14251: The Preview PCN report also extracts <u>multi-lingual text</u> from the following fields:

- FLEXIBLE_RECORD.Ghs.Classification.HealthHazards.ReproductiveToxicity.ReproductiveToxicity.SpecificEffect (extracted from both main mixture and c&I in component of main mixture)
- FLEXIBLE_RECORD.Ghs.Classification.HealthHazards.ToxicitySingle.Toxicity.Toxicity.Or gans (extracted from both main mixture and c&l in component of main mixture)
- FLEXIBLE_RECORD.Ghs.Classification.HealthHazards.ToxicityRepeated.Toxicity.Toxicity.Organs (extracted from both main mixture and c&I in component of main mixture)
- FLEXIBLE_RECORD.Ghs.Labelling.HazardStatementsBlock.HazardStatements.Additiona
- FLEXIBLE_RECORD.Ghs.Labelling.PrecautionaryStatementsBlock.PrecautionaryStatements.AdditionalText
- FLEXIBLE_RECORD.SDSInfoMixtures.InformationOnMixtures.TradeNamesAndSafetyDat aSheetsOfTheMixture.SDSsection11

1.1.1.1. Other improvements in current reports

IUCVI-14161

The customized stylesheet has been updated to allow the generation of PDF reports

• As part of the development of the PCN Preview report, the extraction of temporary 'raw' dossier headers is now available using the reporting engine, and the latest macros_common freemarker template (available from the IUCLID 6 report generator webpage). This affects how you extract the root document in all report templates. In the main body of a report template, to get the rootdocument of a substance or a mixture, you need to use the following assign directives respectively:



- <#assign substance = _subject />
- <#assign mixture = _subject />

To get the dossier header information (whether a raw or read-only dossier header), you need to use **_dossierHeader**. For example, if you want to extract the Dossier name, you would use **_dossierHeader.Name** in the body of your main freemarker template.

1.1.1.2. Known issues and future improvements

IUCVI-14368

The PCN Preview Report extracts the 'Submitting Legal Entity' information from the following field:

LEGAL_ENTITY.ContactInfo.ContactPersons.ContactPerson

However, in line with the Legal Entity information as entered in the Legal Entity of ECHA Accounts, the information should be extracted from:

LEGAL ENTITY.ContactInfo.ContactAddress

In the next July release of IUCLID Cloud, the submitting legal entity information will be extracted from **LEGAL_ENTITY.ContactInfo.ContactAddress**

IUCVI-14337

Currently, when copying and pasting text from a webpage into a rich text field, it is not rendered as displayed on the webpage, as the html tag **nbsp** is removed during paste. This tag is removed so that the text does not stretch and run over the page margin.

1.1.2. Validation assistant

IMP Business rule BR207 was improved to check that registration dossiers containing an optout record in section 14 have both a justification and at least one document selected for optout.

IMP An improvement was made to the inquiry business rules: an attachment must always be provided with the methods and results related to the identification of the substance (BR228).

NEW Includes a first version of validation rules for the submission type 'CLP Poison centres notification' (see below for known issues and future improvements).

1.1.2.1. Known issues and future improvements

Validation report for CLP Poison centres notifications (PCN)



When validating Poison Centres notifications in the *Guided approach for dossier* preparation, the links from validation failures to the corresponding dossier/dataset sections do not work correctly on all occasions. The behaviour will be improved in a subsequent IUCLID release this year. Moreover, the validation report does not check PCN Group submissions.

• BR608 - legal entity must be included in PCN dossiers

At present, the legal entity can only be included in the dossier using the *Advanced settings* feature. The feature is not available when validating the PCN mixture dataset and therefore the rule cannot be made to pass during dataset validation. The rule should not be disregarded when validating the final dossier as this will mean that the notification will fail the submission checks when uploaded to the PCN Portal. The behaviour will be improved in a later IUCLID release this year.

PCN validation scope

Part 2 of the validation rules for Poison centres notifications will be published in subsequent IUCLID releases this year.

9.3 Technical changes

IMP Derby databases can now have a different schema name than 'iuclid6'

Ref. IUCVI-13613

NEW The new IUCLID version is now running with Open JDK 8. The provided installers and updaters are taking care of implementing the change for the vast majority of users. Administrators of IUCLID servers will have to perform the changes to the IUCLID installations they maintained, if necessary. More information is available on the IUCLID website and in the server installation and update instructions:

https://iuclid6.echa.europa.eu/view-article/-/journal_content/title/iuclid-is-switching-to-open-jdk

Ref. IUCVI-14009, IUCVI-14154, IUCVI-14148

FIX Several fixes were made to the web user interface in Safari browsers

Ref. IUCVI-13377

FIX Issues to work with the web interface when the maximum days of user's inactivity was set to larger than 99 days in the system settings, for Oracle-based installations

Ref. IUCVI-13702

FIX Users with non latin characters in their user name are now able to login



10 VERSION 3.1.1 (24 OCTOBER 2018)

Please also refer to the <u>Frequently Asked Questions</u> on the IUCLID 6 website.

The releases notes are highlighting changes compared to previous versions of IUCLID 6. In the next sections, improvements are identified with IMP and bug fixes with FIX.

Here are the main new features (**NEW**) introduced by the update:

- A new web-based interface that allows IUCLID to be run without the need to install
 additional software. This version of the web interface contains the basic essentials of
 the IUCLID functionality, with the intention of extending the features until it can be the
 only interface available. In the meantime, the previous interface is still supplied, so that
 users always have access to the full functionality.
- Changes to the IUCLID format that include: the latest OECD Harmonised Templates, the incorporation of specific elements for microorganisms datasets, and the support of European Poison Centres notifications.

Note on the versioning: IUCLID 6 version 3.1.1 is the latest official IUCLID 6 release since version 2.0.0 published in November 2017. The versioning of the official releases available on the IUCLID 6 website is not consecutive as other intermediate versions of the application can be made available to specific users or groups of users, for example to:

- answer some specific bugs experienced by users,
- provide new features relevant to a specific users group,
- provide fixes to the ECHA Cloud Services users.

10.1 IUCLID definition providers

The format information is available on the IUCLID 6 website:

https://iuclid6.echa.europa.eu/format

This page contains all information concerning the most recent version of the IUCLID 6 format as well as the documentation of the previous format versions.

In order for the users to be aware of the differences between two versions of the format, a series of Release Notes are made available, per group of documents, so-called 'definition providers'.

10.2 IUCLID new user interface

NEW IUCLID 6.3 comes with an alternative web user interface. This new user interface was initially developed for the purpose of the IUCLID databases provided by the ECHA Cloud



services. It has now been integrated as an inherent part of the IUCLID 6 application and will be further enhanced in order to reduce the gap in the functionalities provided compared to the 'classic' IUCLID user interface. This new web interface is meant to replace the 'classic' IUCLID interface which will not be maintained in the future.

The IUCLID Cloud users already benefited from the following IUCLID features in the new web interface:

- REACH dossier creation
- REACH registration dossier 'guided dossier preparation'

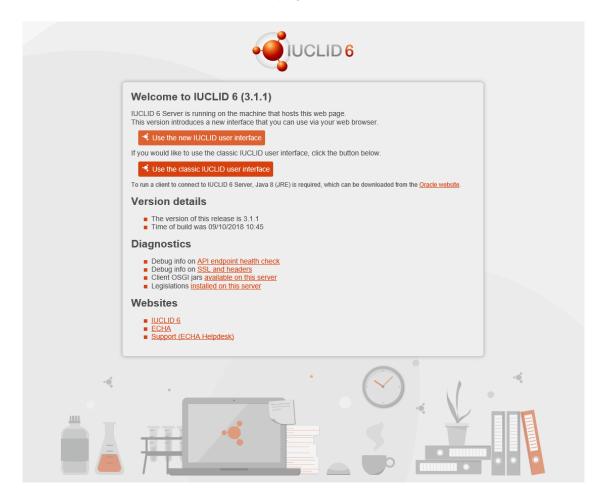
In addition to the features already supported by the IUCLID interface made available to the Cloud users, the following functionalities have been (re-)developed in the new IUCLID web interface:

- Annotations management Ref. IUCVI-12077, IUCVI-12078, IUCVI-12101
- Annotations: list all annotations of a dossier at the level for the dossier header –
 Ref. IUCVI-12471
- Anonymous access to a IUCLID instance, without providing credentials Ref. IUCVI-12163
- Configurable top banner Ref. IUCVI-12159
- Copy documents (the list is not limited to 10 records anymore and records from the current dataset can be retrieved) – Ref. IUCVI-12336, IUCVI-13284
- Edit the dossier header associated to a submission type, at the dataset level Ref. IUCVI-12507
- Export the dataset, dossier or a single document to PDF Ref. IUCVI-12223,
 IUCVI-12240
- Login with the IUCLID database authentication Ref. IUCVI-12485
- Management of several submission types for a dataset Ref. IUCVI-12390
- Mixtures management Ref. IUCVI-12239
- Provide a link from a dossier to its corresponding dataset if available in the same database – Ref. IUCVI-12459
- Search by dossier UUID Ref. IUCVI-12377
- Share access and change ownership, when Instance-Based Security is activated -Ref. IUCVI-12103, IUCVI-12394
- Templates (copy and inherited) options Ref. IUCVI-12535
- User permissions Ref. IUCVI-12381
- View a list of dossiers made from the same dataset Ref. IUCVI-12458
- View datasets and dossiers in a combined list (for substances and mixtures) Ref. IUCVI-12229



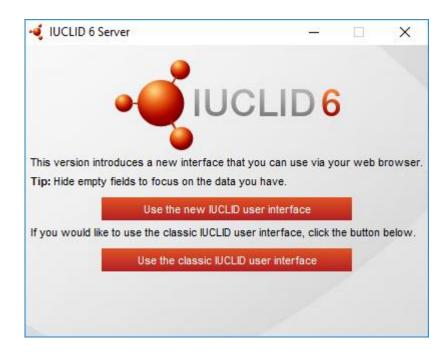
This version of the web interface contains the basic essentials of the IUCLID functionality, with the intention of extending the features until it can be the only interface available. In the meantime, the previous interface is still supplied, so that users always have access to the full functionality. In order to provide access to the different user interfaces, modifications have been introduced to the IUCLID 6 Server main page and to the Starting screen of the Desktop version:

- Updated IUCLID 6 Server main page





Updated starting screen of the Desktop version



10.3 IUCLID functionalities

10.3.1 Assessment entities

IMP Assessment entities are now handled as standard IUCLID documents under section 1.10. Ref. IUCVI-12443

10.3.2 Clipboard

FIX Progress bar / icon is missing when the user tries to copy endpoint study records & summaries from a template.

Ref. IUCVI-11987

10.3.3 Database migration tool

IMP Update DB migrator tool for v4 format changes.

Ref. IUCVI-12734

10.3.4 Document selection

FIX Document Selection step during Import and Export does not properly display Reference Substance Representations.

Ref. IUCVI-11971, IUCVI-11974



10.3.5 Dossier comparison

IMP Possibility to export the dossiers comparison outcome as an HTML file.

Ref. IUCVI-9299

IMP Enhancement of the comparison of dossiers migrated from IUCLID 5.6.

Ref. IUCVI-11120

IMP Export comparison result is not correct if you have changes in repeatable blocks.

Ref. IUCVI-12132

FIX Export comparison result is not possible if you have differences in assessment entities.

Ref. IUCVI-12134

FIX Wrong representation in comparison report appears when we have different dossier type.

Ref. IUCVI-12137

IMP Dossier Creation date/time must appear in comparison report.

Ref. IUCVI-12140

10.3.6 Dossier creation

IMP Specific Table of Content applied for micro-organisms datasets added to a Biocides dossier under the European Biocidal Products Regulation.

Ref. IUCVI-12389

10.3.7 Export

IMP Export to the previous major version has been updated so that IUCLID 6.2 compatible files can be exported from IUCLID 6.3.

Ref. IUCVI-13089

10.3.8 Instance-based security (IBS)

FIX Exception when deleting a group manager.

Ref. IUCVI-11876

10.3.9 Import

FIX Import mechanism removes inherited templates while substance sections have links to inherited documents.

Ref. IUCVI-11854

FIX Ordering of imported summaries & records has inconsistent behaviour.



10.3.10 Printing and reporting

IMP Support of Japanese characters in printing and reporting.

Ref. IUCVI-11082

FIX Print process fails for complex rich-text fields.

Ref. IUCVI-12361

FIX Inventory entries may not be loaded correctly when printing a reference substance

Ref. IUCVI-12850

IMP Removing the dossier name from the printed document header.

Ref. IUCVI-13296

10.3.11 Updater

IMP Introduce new action during upgrade/restore that will remove the impbroker lock file

Ref. IUCVI-11965

10.4 IUCLID modules

10.4.1 Dissemination preview

IMP adaptation of the filtering logic to the IUCLID 6.3 changes.

Ref. IUCVI-12906

10.4.2 DNEL calculator

The DNEL calculator now takes into account the information on the toxic effect type available in the endpoint summaries 7.5 Repeated dose toxicity and 7.8 Developmental toxicity – Ref. IUCVI-13149

The DNEL calculator cannot run if the toxic effect type selection (either dose-dependent or concentration-driven) has not been indicated in the relevant summary(-ies). As a consequence, the users that previously calculated systemic DNELs with the DNEL calculator, will have to set the toxic effect type before performing the calculations again. The supporting documentation has been updated as well.

10.4.3 Fee calculator

FIX Fee Calculator: Error occurs when sorting by "Fee amount" in last step of wizard.



10.4.4 Help system

IMP The manual *Functionalities of IUCLID in the web interface* has been updated for IUCLID 6.3.1.

Ref. IUCVI-13203

IMP The built-in help system has been updated for IUCLID 6.3.1. This includes the document *Functionalities of IUCLID 6*, and the ECHA manuals on how to create dossiers.

Ref. IUCVI-13319

10.4.5 Report generator

IMP All reports have been adapted to the IUCLID 6.3 format.

IMP The Report generator wizard contains all the in-built reports which ECHA has made available to IUCLID users. The reports available for generation from a **substance dataset or dossier** include:

- Chemical safety report (CSR)
- Literature references report for substance and mixture/product datasets and dossiers
- List of attachments for substance datasets and dossiers
- NEW Classification and labelling report for lead registrants

The reports available for generation from a mixture/product dataset or dossier include:

- Summary of product characteristics (SPC) report
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in PDF/RTF formats
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in CSV formats
- Literature references report for substance and mixture/product datasets and dossiers
- List of attachments for biocidal mixture/product datasets and dossiers (in RTF format)
- List of attachments for biocidal mixture/product datasets and dossiers (in CSV format)
- NEW List of annotations for biocidal mixture/product datasets and dossiers

All report templates above, as well as other report templates, can be found from the IUCLID 6 website and uploaded into your own IUCLID instance via the IUCLID Report Manager:

https://iuclid6.echa.europa.eu/reports

10.4.5.1 Summary of new reports

List of annotations for biocidal mixture/product datasets and dossiers

This report is used primarily by assessors from national authorities, and lists the annotations made to IUCLID documents. Each row of the report represents one annotation, and includes all the information entered for that annotation.

Classification and labelling report for lead registrants

This report is used primarily by lead registrants to support the submission of joint registrations under Article 11 of REACH. The report contains classification and labelling information, plus



basic substance identity information, which can be sent from a lead registrant to a member registrant of the same SIEF.

10.4.5.2 All reports (except for the CSR)

List of attachments for biocidal mixture/product datasets and dossiers (in CSV format)

 All unnecessary line breaks should not appear in the .CSV report from version 6.3 onwards

<u>List of attachments for biocidal mixture/product datasets and dossiers (in RTF format) / List of attachments for substance datasets and dossiers / List of attachments for biocidal mixture/product datasets and dossiers (in CSV format)</u>

• These reports now include the attachment information for the following fields:

FLEXIBLE_RECORD.ChemicalSafetyReport.CSR.CSRDocument FLEXIBLE_RECORD.ChemicalSafetyReport.CSR.ExportFileDocument

List of annotations for biocidal mixture/product datasets and dossiers

 No Access does not prevent the generation of the Annotations report, and all No Access data should be removed from the report output

10.4.5.3 Other improvements in current reports

- Dossier header information is now fetched for the relevant reports
- The Literature reference and Attachment reports can now handle exceptionally large datasets and dossiers, and generate without failure
- The customised stylesheet used by several reports and available from the IUCLID 6
 website, now contains the possibility to use specify different font colours in the report
 FTL
- FIX DocBook conversion to .rtf fails when .png images are present Ref. IUCVI-11962

10.4.5.4 Known issues and future improvements

- The IUCLID Web interface allows a report to reference and make a link to a specific IUCLID entity. To accommodate this and make it truly customisable using an FTL report template, the reporting engine will be modified to fetch the Server URL (hostname/port). In future, reports can then easily link back to the entity which is being made reference to in a report: Ref. IUCVI-13012
- If one of the FTL files is encoded in UTF-8 with byte order mark, then report generation fails: Ref. IUCVI-11784
- The IUCLID web interface currently accommodates the generation of the Chemical Safety Report. In a future release, all in-built report templates will be available to users from the web interface. Ref. IUCVI-13178



 In a future release of the IUCLID web interface, advanced options will be available to allow users to pre-select which documents are taken into consideration for report generation, and if confidential information should be displayed

10.4.5.5 Chemical Safety Report (CSR)

Fixes and improvements

General

- The hash sign [#] has been replaced by a string text (for example Annex III) for the hyperlink made to the relevant annex.
- A hyperlink has been made between each study summary linked to an assessment entity and the assessment entity description.

Chapter 1

• 1.3 (1.4 if assessment entity exists): The information related to the physicochemical properties has been reorganised in the related table in order to improve its readability.

Chapter 3

• 3.1: Classification according to GHS is now correctly printed.

Chapter 4

• 4.3.3: The information related to the endpoint summaries 5.3.1 and 5.3.2 is now also reported even if the summary in section 5.3 is not available.

Chapter 5

- 5.3: In vitro skin irritation data waiving information is now correctly displayed when needed.
- 5.7.1.1: changes have been made in a table header to align with its content

Chapter 7

Improvement of the readability of all endpoint summaries.

Known issues

General

- Page numbers are not available in the table of content generated as .RTF. Please press the "CTRL+ A and F9" to update the ToC.
- Word wrap doesn't work properly when generating .PDF report, however this works with an .RTF report (Ref. IUCVI-8995)
- Rich-text field issues (Ref. IUCVI-9018)
 - Currently, when a table is created or pasted into a rich-text field in IUCLID, it may not be correctly displayed in the CSR
 - When text is numbered, the numbering is not sequential, with each number remaining as "1."
 - Not all changes to the font in a rich-text field are reflected in the generated CSR, affecting for example the display of the font size and style



Styles in the .rtf document are missing. As a consequence, the ToC cannot be recreated automatically once one (or more) header is deleted in the document (Ref. CHRIII-1226, IUCVI-9619). However a workaround is described in Q53 "I cannot print or generate a report from IUCLID, what should I do?" available at https://iuclid6.echa.europa.eu/faq

Annexes

- References: All references mentioned in the CSR are reported in the Annex I and ordered according to how they appear in the CSR and not alphabetically (Ref. IUCVI-9203)
- Test material information: currently the indication of which endpoint study the test material is linked to is missing. (Ref. IUCVI-9204)
- The Reference entries in the Annex I are not separated in the CSR when it is generated as a .PDF. However, in the .RTF they are correctly displayed (Ref. IUCVI-9019)

10.4.6 Validation assistant

NEW quality rule QLT173 implemented. Rule checks that pre-registration or inquiry number is provided in section '1.3 Regulatory identifiers' in initial dossiers.

NEW business rule BR220 checks that all lead registrants have provided 'Boundary composition'.

NEW Implemented two new rules for 'BPR Active substance application (representative product)' and 'BPR Biocidal product authorisation', these validations were previously implemented as part of the dossier creation wizard in the IUCLID interface.

NEW Includes first beta-version of validation rules for 'CLP Poison centres notification'.

NEW First beta-version of validation checks created for the new submission type 'AU Industrial Chemicals Certificate Application'. The scenarios have been configured to run on selected existing business rules, completeness check rules and quality rules. New rules TCC_NICNAS_01 and TCC_NICNAS_02 added.

Known issues and future improvements

- Due to the new possibility to add several endpoint records in section 1.3 Regulatory identifiers, some business rules checking content in that section do not work properly if several endpoint records are created.
- The ordering of the failures or warnings reported in the web interface of IUCLID is different from the ordering of the issues in the classic user interface. This will be corrected in future releases.

10.5 Integration with other tools / API

10.5.1 IUCLID Public API

The API documentation is maintained on the IUCLID 6 website:



https://iuclid6.echa.europa.eu/public-api

The following changes (extensions) are introduced with this IUCLID version:

- Copy a template into a dataset
- Customization of the export archive endpoint (exclude stylesheets, empty elements)
- Dossier comparison
- Dossier filtering
- Export a dataset / dossier to PDF
- Link/unlink a template into/from a dataset
- Link/unlink annotation to a document/dossier
- Section document reordering in datasets

10.5.2 Chesar

IUCLID 6.3.1 is fully compatible with Chesar 3.4 that will be published early November 2018. For more details on the Chesar release, see the news section on the <u>Chesar website</u>.

10.6 Miscellaneous

IMP and **FIX** Various security and performance fixes and improvements

Ref. IUCVI-10660, IUCVI-11562, IUCVI-11814, IUCVI-11815, IUCVI-11812, IUCVI-11838, IUCVI-11883, IUCVI-11885, IUCVI-11895, IUCVI-11906, IUCVI-11908, IUCVI-11929, IUCVI-11960, IUCVI-11972, IUCVI-11994, IUCVI-11999, IUCVI-12026, IUCVI-12038, IUCVI-12049

IMP Files linked to deleted documents are now deleted from the database as well.

Ref. IUCVI-11597

IMP Glassfish server upgrade to v4.1.1



11 VERSION 2.0.0 (15 NOVEMBER 2017)

Please also refer to the Frequently Asked Questions on the IUCLID 6 website.

The releases notes are highlighting changes compared to previous versions of IUCLID 6.

In the next sections, improvements are identified with IMP and bug fixes with FIX.

Here are the main new features (**NEW**) introduced by the update:

- Fee calculator
- Export to the previous IUCLID 6 version

A presentation of this release is available on the IUCLID 6 website:

https://iuclid6.echa.europa.eu/videos

11.1 IUCLID legislations

Format changes are documented below.

The format information is available on the IUCLID 6 website:

https://iuclid6.echa.europa.eu/format

The page above contains all information concerning the most recent version of the IUCLID 6 format as well as the documentation of the previous format versions.

In order for the users to be aware of the differences between two versions of the format, a series of Release Notes are made available, per group of documents, so-called 'legislation'.

Some of the main changes made to the format are listed hereafter.

11.1.1 CORE

IMP Relabeling of 'Description of composition'

Ref. IUCVI-10964

IMP Changes in section 11 'Guidance on safe use'

Ref. IUCVI-10969

IMP Addition of 'free text' templates (guidance on safe use)

Ref. IUCVI-10968

IMP New field in Dossier Header - substance evaluation

Ref. IUCVI-11007

IMP New structure of SUBSTANCE entity, in the context of v2.0.0

Ref. IUCVI-11494

IMP Endpoint summary section 7 'Explanation for hazard conclusion' to be moved outside the collapsible block 'DNEL related information'



IMP Introduce lower/upper limit information in document definitions

Ref. IUCVI-11401

IMP Section 5.4.1 endpoint summary - Removal of LogKoc

Ref. IUCVI-11022

IMP Documents 5.2.1 and 5.2.2 - differentiation between freshwater and marine water

Ref. IUCVI-11016

IMP Change field 'Category rationale' from text area to text template (category)

Ref. IUCVI-11005

IMP ENDPOINT_SUMMARY.Datatox under section 7: fields with missing label

Ref. IUCVI-10894

IMP 2.1 - Self vs harmonised classifications

Ref. IUCVI-10986

IMP 3.2 - 'Remarks' field

Ref. IUCVI-10989

IMP 2.1 - Inactivity of 'Not classified' checkbox

Ref. IUCVI-10982

IMP 3.3 - Site with multiple legal entities

Ref. IUCVI-10990

IMP GHS - Adaptations due to GHS revisions and CLP ATPs

Ref. IUCVI-10987

FIX Section 3.5 - Alignment of PROC and PC names

Ref. IUCVI-11029

IMP Section 6 endpoint summary - New picklist entry

Ref. IUCVI-11020

IMP Endpoint summaries - No negative values allowed

Ref. IUCVI-11018

IMP Legal entity - Extension of identifiers

Ref. IUCVI-11023

IMP Updates in Literature references, in the scope of v2.0.0

Ref. IUCVI-11125

IMP 1.1 - Picklist review - type of substance micro organism

Ref. IUCVI-11028

11.1.2 OECD

IMP 7.8.2 - Removal of redundant fields



Ref. IUCVI-11003

IMP 4.22 - Replacement of picklist (phrase group) value

Ref. IUCVI-10995

FIX 7.8.2 picklist - Overall developmental toxicity has wrong picklist.

Ref. IUCVI-11002

IMP Update wording of phrase 212 (Melting point / freezing point document)

Ref. IUCVI-9692

FIX Correct wording of data waiving phrase in section 4.11 'Flash point'

Ref. IUCVI-9711

IMP Text template added to field 'Justification for type of information'

Ref. IUCVI-11275

FIX Sections 4.24 and 4.26 - Block 'Overall remarks, attachments' missing in two sections (OECD templates)

Ref. IUCVI-11102

IMP Add values to section 7.6.1 field Type of assay

Ref. IUCVI-11001

NEW New dossier header for submission type: Exchange of experimental data

Ref. IUCVI-11616

NEW and **IMP** Update of pesticide templates (OHTs) 85-1 to 85-10

Ref. IUCVI-11043

IMP Improve the text template of the Confidential details on TMI

Ref. IUCVI-11033

FIX 4.15 - Removal of picklist value

Ref. IUCVI-10994

IMP 7.3.2 - Table headings

Ref. IUCVI-10998

IMP Endpoint Study Records - Alignment of justification phrases (section 7)

Ref. IUCVI-11015

11.1.3 REACH

IMP 14 - Conditional formatting DU report

Ref. IUCVI-11011

NEW Downstream user REACH art. 66 document and new submission type

Ref. IUCVI-11013, IUCVI-11032



NEW Inclusion of 'OHT Endocrine disrupter testing in aquatic vertebrates – in vivo' in REACH dossiers

Ref. IUCVI-11031

IMP Optionality of documents in DU reports

Ref. IUCVI-11010

11.1.4 CLP

No changes

11.1.5 BPR

IMP 14.3.6 - New picklist values

Ref. IUCVI-10976

IMP 14.3.9 - Changes in picklist

Ref. IUCVI-10977

IMP 4.6 - mapping of section 4.6 to 4.11

Ref. IUCVI-10996

FIX Duplication of information in Biocides templates

Ref. IUCVI-10965

IMP BPR submission types: Endpoint summary 'Terrestrial toxicity' added

Ref. IUCVI-11167

FIX 14.3.2 - Wrong picklist

Ref. IUCVI-10975

FIX BPR: wrong reference in section 6.8 (mixture/product only)

Ref. IUCVI-11232

FIX BPR Basic information (mixture): incorrect numbering

Ref. IUCVI-11168

11.2 IUCLID functionalities

11.2.1 Attachments

FIX Attachments to records (in the information panel) should be able to be deleted according to the user's permissions



11.2.2 Clipboard manager

IMP Clipboard Manager: Mention of missing elements during paste all

Ref. IUCVI-11152

FIX Error when pasting Fixed Record that is referenced in an Endpoint Study Record

Ref. IUCVI-10921

FIX An exception message appears when the user tries to copy all documents from a dossier

Ref. IUCVI-11182

FIX Clipboard Manager: Error when pasting very large number of documents

Ref. IUCVI-11107

FIX Clipboard manager: The name of the element is not correct if you rename a new record

Ref. IUCVI-11155

11.2.3 Confidentiality flags

IMP Separation of Confidentiality flags and Restriction checkboxes (user interface level)

Ref. IUCVI-11006

IMP Data Protection (Confidentiality) fields should explicitly define which fields they are protecting

Ref. IUCVI-10913

11.2.4 Document dynamic content

IMP 7.3.2 eye irritation conditional formatting

Ref. IUCVI-11470

11.2.5 Dossier and export

IMP Simplify the flow between the dossier creation and the export or validation assistant actions for example

Ref. IUCVI-10950

FIX List dossier is not possible on distributed Linux environment

Ref. IUCVI-11359

FIX Modification history contains the working legal entity of the User despite the fact the user selects excluding legal entity during the dossier creation

Ref. IUCVI-11066

11.2.6 Printing

IMP As a user I would like to print studies without confidential fields or reference to the parent entity



Ref. IUCVI-11404

11.2.7 Search

FIX Reference substance browse search results are not correct

Ref. IUCVI-11154

11.3 IUCLID modules

11.3.1 Dissemination preview

IMP Improve Dissemination Preview results - use IUCLID tree to sort "Document name" column

Ref. IUCVI-10927

11.3.2 Fee calculator

IMP Release of the fee calculator

11.3.3 Help system

IMP Update of the help system for version 2.0.0 of IUCLID 6. The main changes are indicated at the beginning of the manual.

Ref. IUCVI-

11.3.4 Report generator

FIX Full CSR: Text entered in rich text boxes with new lines and exported to IUCLID is not displayed

Ref. IUCVI-11185

FIX Full CSR: Hazard pictograms are printed twice when generating from Chesar

Ref. IUCVI-11183

FIX Generate report for a substance fails if it is linked with a reference substance which has only a name

Ref. IUCVI-10959

FIX When the character "&" is in an attachment, the reporting engine fails to generate

Ref. IUCVI-11518

IMP Add ordering buttons in Output table of "Edit report" form



11.3.4.1 New reports

There are a set of new reports (report templates are available to download from the <u>IUCLID 6</u> <u>website</u>) which are now in-built into the Report generator wizard, and are found under 'Type of report' when running the Report generator wizard:

- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in PDF/RTF formats
- Biocidal Products Regulation Confidentiality Report (BPR Article 66) in CSV format
- Literature References report for substance and mixture/product datasets and dossiers
- List of attachments for biocidal mixture/product datasets and dossiers (in RTF format)
- List of attachments for substance datasets and dossiers
- List of attachments for biocidal mixture/product datasets and dossiers (in CSV format)

Notes on new reports

- "Biocidal Products Regulation Confidentiality Report (BPR Article 66) in PDF/RTF formats": this report is used primarily by assessors from national authorities, and has been extended to include an updated disclaimer, a hyperlink to the document containing the confidentiality claim, an additional column for ECHA assessment, as well as a summary box at the end of the report for national authority assessors to summarise their assessment.
- "Biocidal Products Regulation Confidentiality Report (BPR Article 66) in CSV format":
 this report is a simple CSV table (opened in MS Excel) of relevant confidentiality claims
 under Article 66, and does not contain the introduction and disclaimer which the report
 "Biocidal Products Regulation Confidentiality Report (BPR Article 66) in PDF/RTF
 formats" contains.
- "Literature References report for substance and mixture/product datasets and
 dossiers": this report can be generated from either a substance or mixture/product
 datasets and dossiers. The mixture/product report contains additional information
 specifically to assist national authorities in their work under the Biocidal Product
 Regulation. A hyperlink is included to go directly to a specific IUCLID document (when
 using a server version of IUCLID).
- "List of attachments for biocidal mixture/product datasets and dossiers (in RTF format)": the report contains additional information specifically to assist national authorities in their work under the Biocidal Product Regulation. A hyperlink is included to go directly to a specific IUCLID document (when using a server version of IUCLID).

Known issues for new reports



- "List of attachments for biocidal mixture/product datasets and dossiers (in CSV format)": this report has formatting issues which sometimes causes blank spaces between data and data which is shifted outside of the cell. Otherwise, the data in the CSV file is complete and, if necessary, the format adjusted inside the CSV file.
- "List of attachments for biocidal mixture/product datasets and dossiers (in RTF format)", "List of attachments for substance datasets and dossiers", "List of attachments for biocidal mixture/product datasets and dossiers (in CSV format)": these reports do not currently include the attachments for the following fields:
 - o FLEXIBLE RECORD.ChemicalSafetyReport.CSR.CSRDocument
 - o FLEXIBLE_RECORD.ChemicalSafetyReport.CSR.ExportFileDocument

11.3.4.2 Chemical Safety Report (CSR)

Changes compared to the previous version of the CSR generated by IUCLID:

General

- Adaptation to the format changes of IUCLID 6.2
 - "Type of water" has been introduced to related picklist entry after the "Value used for CSA" both for the discussion (screening testing) and the discussion (Simulation testing) (section 4.1.2.1.3).
 - "Log KOC" value has been removed from the CSR "Key value" for chemical safety assessment.
- Readability improvements:
 - No labels are printed for corresponding IUCLID fields without given information.
 It applies among others to endpoint summary labels, "Data waiving / Test material" information. Annex II:
 - o Removal of question marks appearing on test material information;
 - General readability of the report has been improved by introducing additional spaces between headers and normal text, and by adding coloured table headers.

Chapter 1

 Structural formula image has been introduced in chapter 1.1. "Name and other identifiers of the substance". The formula can be uploaded into IUCLID in .jpg, .jpeg, .gif and .png formats.



• "Data waiving" and "Test material" labels are not printed if no information is provided in any endpoint records.

Chapter 2

- ERCs and PROCs default descriptions are now displayed in all contributing activities/techniques, when no name is defined by the user.
- "Subsequent service life relevant for that use" now is correctly appearing in the document

Chapter 3

Pictograms along with corresponding descriptions are now available in the CSR.

Chapter 5

- The studies in the results table are now sorted according to the "Species", "Type of study", "Endpoint", "Type of genotoxicity" or "Route of administration"
- New sections for additional toxicological effects were added:
 - o 5.10.1.4. "Additional toxicological effects"
 - 5.10.3. "Summary and discussion of other effects"

Chapter 7

"Atmospheric compartment" section is now always printed

Annexes

 Annex III containing information on "Mode of action / Human relevance Framework" has been introduced.

Known issues

- Page numbers are not available in the table of content generated as .rtf. Please press the "CTRL+ A and F9" to update the ToC.
- Word wrap doesn't work properly when generating .pdf report however works with .rtf (Ref. IUCVI-8995)
- Rich-text field issues (Ref. IUCVI-9018)
 - Currently, when a table is created or pasted into a rich-text field in IUCLID, it may not be correctly displayed in the CSR
 - When text is numbered using the button, the numbering is not sequential,
 with each number remaining as "1."
 - Not all changes to the font in a rich-text field are reflected in the generated
 CSR, affecting for example the display of the font size and style



 Styles in the .rtf document are missing. As a consequence, the ToC cannot be recreated automatically once one (or more) header is deleted in the document (Ref. CHRIII-1226, IUCVI-9619)

Annexes

- References: All references mentioned in the CSR are reported in the Annex I and ordered according to how they appear in the CSR and not alphabetically (Ref. IUCVI-9203)
- Test material information: currently the indication of which endpoint study the test material is linked to is missing. (Ref. IUCVI-9204)
- The Reference entries in the Annex I are not separated in the CSR when it is generated as a .pdf. However, in the .rtf they are correctly displayed (Ref. IUCVI-9019)

11.3.5 Validation assistant

NEW Implemented new completeness check rules (TCC) to verify that the new data waiving justification phrases based on the amended REACH Annexes are backed up by appropriate data (sections 7.2.3, 7.3.1, 7.3.2, 7.4.1)

IMP Improved completeness check rules (TCC) to verify that data waiving in section 4 based on that the substance is flammable, explosive, pyrophoric, self-reactive or organic peroxide is substantiated by the appropriate classification

IMP Improved completeness check rule TCC_0102_20 which checks that UVCB substances contain a composition or a justification for deviations

IMP Improved completeness check rule TCC_ESR_A62 which checks that data waiving of the sub-chronic toxicity study in section 7.5 is substantiated by the appropriate classification and short-term study

IMP Adapted all business rules and completeness check rules that check section 13 to the new IUCLID 6 v.2.0.0 format

IMP Implemented new and improved business rules (BR) for the submission types Substance in article notification and downstream user report

NEW Implemented new quality rules (QLT) for hazard endpoints (sections 7.3.1, 7.3.2, 7.4.1, 7.6.1, 7.8.1, 7.8.2)

NEW Added a new validation scenario for the new submission type 'Exchange of experimental data' (outside the REACH or CLP regulatory context). The scenario has been configured to run the existing business rules, completeness check rules and quality rules that apply to the data in such a dossier and that are not directly linked to REACH/CLP concept.

IMP The default sorting of the Validation assistant UI report should follow the column 'Section number'



11.4 Integration with other tools / API

11.4.1 Chesar

FIX Error on export of Uses from Chesar to IUCLID (Client's transaction aborted)

Ref. IUCVI-11455

11.4.2 Public API

IMP Public REST API does not return "Remarks" and "Version" content from dossier header

Ref. IUCVI-11383

FIX Fail to create new document/entity with top-level attachments

Ref. IUCVI-11596

FIX IUCLID API fetch and display wrong (outdated) phrases for phrase group B07

Ref. IUCVI-11687

IMP Public REST API should support the retrieval of Categories linked to an entity

Ref. IUCVI-11634

11.5 Miscellaneous

IMP Date / time representation improvement

Ref. IUCVI-10843

FIX Integers migrated as decimals

Ref. IUCVI-11017

IMP As a user I would like to see more rows of a table in IUCLID, by default

Ref. IUCVI-10928

IMP Multiple selection of Users is not possible in Group management



12 VERSION 1.3.0 (28 APRIL 2017)

Please also refer to the <u>Frequently Asked Questions</u> on the IUCLID 6 website.

The releases notes are highlighting changes compared to previous versions of IUCLID 6.

No changes have been made to the IUCLID format in this version and full compatibility is maintained across IUCLID 6 versions (i.e. all IUCLID 6 files can be exchanged with all IUCLID 6 versions).

In the next sections, improvements are identified with IMP and bug fixes with FIX.

Here are the main new features (NEW) introduced by the update:

- o PNEC calculator
- Dossier header re-use
- Advanced features of the report generator

12.1 Background job

NEW After an import of a IUCLID file, it is now possible to navigate directly to the imported data using an option in the background job window.

Ref. IUCVI-8868

12.2 Clipboard

FIX A paste as reference should not be allowed for fixed records.

Ref. IUCVI-10877

12.3 Comparison tool

IMP The dossiers to be compared can now be retrieved using their UUIDs.

Ref. IUCVI-10579

12.4 Data edition

IMP When editing a text field in a pop up window, when maximising the window, the edition zone should also be maximised.

Ref. IUCVI-10636

FIX An incorrect message was displayed when deleting a fixed record (e.g. section 1.3 record) linked to another record.



FIX A non user friendly message was displayed when uploading an image with an unsupported file format.

Ref. IUCVI-10881

NEW A new submission type has been added in order to support the 'Exchange of experimental data' outside any regulatory context. This submission type is a prototype and is subject to further validation with the IUCLID users' community. That is the reason why the dossier creation using this submission type is not yet enabled.

Ref. IUCVI-10896

FIX Save and clear of multi-line invalid data in a document freezes the IUCLID client.

Ref. IUCVI-10766

FIX The user is not able to add an attachment which has the characters {} in the file name.

Ref. IUCVI-10874

12.5 Database patch tool

IMP The database patch tool is now able to detach incorrect references to fixed records.

Ref. IUCVI-10876

12.6 Dissemination preview

IMP Implementation of a new rule for the filtering of nanomaterial information.

Ref. IUCVI-10758

IMP The ordering and sorting of the dissemination preview report has been improved. All columns can now be sorted and the default sorting is done on the 'Section name' (numeric sorting).

Ref. IUCVI-10762

FIX The copy of the full report is now possible to Excel.

Ref. IUCVI-10691

12.7 Dossier creation

NEW Described in the <u>IUCLID 6 functionalities manual</u> (section 7.1.4). During the dossier creation there is now the possibility to load the information that was previously entered in the dossier header (administrative data related to the submission of information) of dossiers created for the same substance.

Ref. IUCVI-6026

FIX The inquiry section is now indicated as mandatory for 'REACH Inquiry'.



FIX Attachments added to the dossier should be considered as dossier information in the database and the .i6z files.

Ref. IUCVI-10821

12.8 Help system

IMP Update of the help system for version 1.3.0 of IUCLID 6.

Ref. IUCVI-11036

12.9 Import / export

FIX The import mechanism should ignore i6d documents placed under the attachments folder.

Ref. IUCVI-10767

FIX An exception message appears if the user tries to import the EC inventory using the replace mode.

Ref. IUCVI-10433

FIX Document selection produces validation error in some cases as related documents selection is not updated accordingly.

Ref. IUCVI-10413

IMP The performance has been improved for the document selection during import, export and dossier creation.

Ref. IUCVI-10090

12.10Information panel

FIX A 'Null' value was displayed in the annotations tab in the information panel when empty values existed.

Ref. IUCVI-10418

FIX Error while loading the attachment tab when the document contains several attachments.

Ref. IUCVI-10866

12.11Installer and updater

NEW A IUCLID Desktop updater is now available for Mac OS. The first Mac installer was published with IUCLID version 1.2.0. This is the first time an updater is needed for this operating system. It can be downloaded from the <u>IUCLID website</u>.



FIX Due to a change made to the installer in order to support High DPI screens, the installation of IUCLID was sometimes blocked by Antivirus systems. A new installer which does not include the High DPI screen fix is now available on request to the <u>Helpdesk</u>.

Ref. IUCVI-10610

IMP The installer memory setup mechanism has been amended in order to allow external parametrization of the maximum heap size allocated. This takes place via a configuration file that is placed in the same level with the iuclid6-installer.exe file. This will be documented on the <u>IUCLID website</u>.

Ref. IUCVI-10889

12.12Instance based security (IBS)

IMP The performance of bulk change of ownership has been improved.

Ref. IUCVI-10501

12.13 Navigation

FIX 'No access' appeared for legal entities for read-only users without Instance Based Security activated.

Ref. IUCVI-10435

12.14PNEC calculator (NEW)

NEW Described in the <u>IUCLID 6 functionalities manual</u> (section 20). The aim of the PNEC calculator is to support the derivation of Predicted No-Effect Concentrations for the aquatic, sediment and terrestrial environmental protection targets based on ECHA Guidance on Information Requirements and Chemical Safety Assessment. It also populates automatically the 'Ecotoxicological information' summary record in section 6 of IUCLID.

12.15Printing

IMP Assessment entities are now printed together with the substance dataset.

Ref. IUCVI-10392

FIX Error printing a reference substance that contains an invalid formula image.

Ref. IUCVI-10872

12.16Public REST API

IMP The linking of attachments to documents is now supported.



IMP It is now possible to determine the type of entity (e.g. dossier, substance or mixture dataset) imported using the Public REST API import endpoint.

Ref. IUCVI-10747

12.17Report generator

NEW Described in the <u>IUCLID 6 functionalities manual</u> (section 23). The Report generator now contains a Report manager that allows the user to upload additional report templates and make them available to all the users of the same IUCLID database. Examples of report templates are available on the <u>IUCLID website</u>.

Ref. IUCVI-10551

FIX The report generation was failing when invalid XML characters were present in a dataset.

Ref. IUCVI-10820

FIX The report generation was failing when the dataset contained a reference substance with an image and a customised stylesheet was used.

Ref. IUCVI-10890

IMP The report generator engine is extended to support access to the modification history per document.

Ref. IUCVI-10880

12.17.1 Chemical Safety Report (CSR)

Changes compared to the previous version of the CSR generated by IUCLID

- General
 - The information in Part A should be provided in section 13 of IUCLID before proceeding with the generation of the CSR
 - The creation of a Joint or Own CSR is now defined in section 13 of IUCLID.
 This section includes the picklist options "all uses" "own CSR" or "joint CSR" which trigger the corresponding CSR generation type
 - Information triggered from the CSR report generator has been updated according to the new IUCLID format
 - Table numbers are numbered according to the related chapter and not consecutively as in the previous versions of the CSR generator
 - In all study result tables the column "References" has been removed and the references put in the "Results" one.
 - Basic assessment entity information is available in the CSR generated
- Chapter 1
 - Nanomaterial information has been added to the CSR



Chapter 2

 Changes have been made to the layout of the tables related to all uses so as to better accommodate the new information captured from IUCLID

Chapter 3

 Classification and labelling according to DSD/DPD has been removed from the generated CSR

Chapters 4-8

- In all study result tables the column "References" has been removed and the content put in the "Results" one.
- Justification for type of information available in all result tables
- In all result tables, the result flagged as "key result" is displayed always as first entry.

Chapters 9 and 10

No information is available in these sections besides the Chapter headers

Fixes and improvements

- General
 - Improvements on the format: endpoint summaries: removal of labels if no information is available in the corresponding field
 - Improvement in the display of the footer of the CSR
- Chapter 1: "data waiving" and "test material" labels now not appearing if no information is provided in any endpoint records
- Chapter 2: improvement in the display of information in the tables: beside the related identifiers, now the ERC/PROC name is appearing if no "name of the activity/technique" is provided. Labels are not appearing in the tables from 2.1-2.6 if no information is available
- Chapter 7: removal of the "assessment factor" labels in section 7.5
- Chapter 8: labels and sections are not printed if no information is available

Known issues

- General
 - Page numbers are not available in the table of content generated as .rtf. Please press the "CTRL+ A and F9" to update the ToC.
 - The Reference entries in the annex 1 are not separated in the CSR when it is generated as a .pdf. However, in the .rtf they are correctly displayed (*Ref. IUCVI-9019*)



- Few labels are still printed in the CSR even if no information is available in the corresponding IUCLID fields
- Extra empty lines are available in all tables of the CSR
- o Rich-text field issues (Ref. IUCVI-9018)
 - Currently, when a table is created or pasted into a rich-text field in IUCLID, it may not be correctly displayed in the CSR
 - When text is numbered using the ¹/₂ button, the numbering is not sequential, with each number remaining as "1."
 - Not all changes to the font in a rich-text field are reflected in the generated CSR, affecting for example the display of the font size and style
- Styles in the .rtf document are missing (Ref. CHRIII-1226)

Chapter 5

The studies in the results table are not sorted according to the "Species", "Type
of study", "Endpoint", "Type of genotoxicity" or "Route of administration"

Annexes

- References: All references mentioned in the CSR are reported in the Annex I and ordered according to how they appear in the CSR and not alphabetically (Ref. IUCVI-9203)
- Test material information: currently the indication of which endpoint study the test material is linked to is missing. (*Ref. IUCVI-9204*)

12.18Restore

FIX Launching IUCLID did not work after a restore under a Linux or Mac environment.

Ref. IUCVI-10947

12.19Server version

IMP The launch of the IUCLID client is now automated. There is no need to click 'Start application' in order to start the launch process of the client when using the IUCLID 6 Server version.

Ref. IUCVI-10597

FIX Incessant error messages were logged when the client lost the connectivity to the server.



12.20 Validation Assistant

FIX Fixed bug in quality rule QLT069 which checks the consistency between the degree of purity and the concentration of an impurity

IMP Improved quality rule QLT098 to also consider the late pre-registration number format as valid

NEW Quality rules (QLT) implemented for classification and labelling (section 2.1)

NEW Quality rules (QLT) implemented for hazard endpoints (sections 6.3.1, 6.3.2, 6.3.3, 7.3.1, 7.3.2)



13 VERSION 1.2.0 (31 JANUARY 2017)

Please also refer to the <u>Frequently Asked Questions</u> on the IUCLID 6 website.

The releases notes are highlighting changes compared to previous versions of IUCLID 6.

No changes have been made to the IUCLID format in this version and full compatibility is maintained across IUCLID 6 versions (i.e. all IUCLID 6 files can be exchanged with all IUCLID 6 versions).

In the next sections, improvements are identified with IMP and bug fixes with FIX.

Here are the main changes introduced by the update:

- o DNEL generator
- o IUCLID hyperlinks
- Printing and report generation fixes and performance improvements

13.1 Annotations

FIX dossier export failed when the included Legal Entity contained an annotation.

Ref. IUCVI-9918

FIX The status of an annotation is now displayed in the 'Annotations' tab of the information panel.

Ref. IUCVI-10300

13.2 Attachments

FIX Errors were happening when attaching files to IUCLID fields, particularly as part of a dossier creation.

Ref. IUCVI-9921

13.3 Background jobs

FIX A discrepancy was observed between the times reported in different places of the background jobs. The times of the server or the client were reported creating inconsistencies. Now all times are aligned according to the client time zone.

Ref. IUCVI-9848

13.4 Clipboard manager

IMP When copying records which have the default IUCLID name (IUCLID UUID), the naming follows the standard logic (i.e. adding the suffix '-copy').



IMP When copying record, the suffix '-copy' is only added when there is already an occurrence of the name in the destination IUCLID section.

Ref. IUCVI-9513

IMP Records from the clipboard that cannot be copied to the destination dataset are not blocking anymore the copy process. Instead, a warning is displayed to the user in order to list the records that could not be copied.

Ref. IUCVI-9657

13.5 Dissemination preview

FIX Improvement of the performance of the dissemination preview process.

Ref. IUCVI-9975

IMP The check box 'Add report as an attachment to the filtered document' is not displayed anymore as the function is obsolete.

Ref. IUCVI-8910

13.6 DNEL generator (NEW)

New feature described in the <u>IUCLID 6 functionalities manual</u> (section 21). The aim of the DNEL calculator is to support the derivation of Workers and General Population Derived No-Effect Levels for long-term systemic effects for oral, dermal and inhalation routes based on ECHA Guidance.

Ref. IUCVI-9963

13.7 Document selection (export / import)

FIX 'Document Selection' was not working properly in 'Entities list' panel when exporting a record.

Ref. IUCVI-9488, IUCVI-10409

13.8 Dossier creation / Export

FIX The flag 'substance of concern' was wrongly overriding the 'active substance' status in a BPR dossier.

Ref. IUCVI-9803

FIX The validation of the content of some dossier header fields has been fixed.



IMP In the case of the dossier creation or export of a substance included in categories, the user can now select, in the advanced options, the level of information to be included for the category members. By default, only the endpoint study summaries are included during dossier creation and all information is included during export.

Ref. IUCVI-10224, IUCVI-9978

FIX When creating a dossier for a substance linked to a category, the exclusion of the Legal entities was not working as expected.

Ref. IUCVI-10289

13.9 Help system

IMP Update of the help system for version 1.2.0 of IUCLID 6.

Ref. IUCVI-9795

FIX Links from help icons restored.

Ref. IUCVI-9919

13.10 Hyperlinks (NEW)

New feature described in the <u>IUCLID 6 functionalities manual</u> (section 1.3.4). The feature hyperlink provides a way of creating a web-based hyperlink to an entity or document within IUCLID 6. Following the hyperlink causes a new instance of the IUCLID 6 interface to be opened. After a User logs in, the entity or document is displayed in the data window. This feature is available only for IUCLID 6 Server.

Ref. IUCVI-7644

13.11Import

FIX The Replace option is disabled when the action is not relevant.

Ref. IUCVI-10194

IMP Performance of re-import of large IUCLID 6 files (i.e. thousands of documents).

Ref. IUCVI-10233

IMP Performance of import for Derby database has been improved.

Ref. IUCVI-9814

13.12Installer, updater and other IUCLID tools (e.g. migration tool)

IMP Memory configuration to run the installer has now been optimized for 32-bit and 64-bit systems.

Ref. IUCVI-9750, IUCVI-10544



FIX When a Desktop version is installed without user management, users from a IUCLID 5 database are not migrated.

Ref. IUCVI-9895

IMP IUCLID tools have been updated in order to be able to re-use the Java version provided by IUCLID if they are executed from a IUCLID 6 installation folder.

Ref. IUCVI-10113

13.13Inventory manager

FIX Replacing an already selected entity caused an error.

Ref. IUCVI-10232

13.14Navigation panel

FIX The filtering of the results displayed in the navigation panel for substances did not take into account the reference substance details.

Ref. IUCVI-10319

New feature A Chesar table of content can now be selected to help users filling-in the information relevant to be shared between IUCLID and Chesar. The relevant data can also be selected for export.

Ref. IUCVI-10252

IMP When navigating in a dossier (dossier components) or inside the Table of Content of a dataset, the selected record and the corresponding sections(s) are indicated in bold, helping the user knowing which information is displayed in the data area.

Ref. IUCVI-10050

13.15Print

FIX Improvements have been made in order to prevent the printing function to fail because of 'malformed' rich text fields. The solution is now configurable in order to provide fixes to problematic cases identified in the future, without requiring a new IUCLID release.

Ref. IUCVI-10264

IMP Improvement of the performance of printing large datasets (thousands of documents). Although the printing process still requires enough memory for large datasets, the performance is improved.



13.16Public (REST) API (NEW)

New feature The IUCLID 6 Public REST API, initially published as a beta version in September 2016, has now been finalized and is officially published with version 1.2.0. The corresponding documentation is maintained on the IUCLID 6 website: https://iuclid6.echa.europa.eu/public-api

Ref. IUCVI-9153

13.17Report generator

IMP The generation of a report including images now succeeds in environments that do not run X Server.

Ref. IUCVI-10275

New feature The possibility to extract the Summary of Product Information under the EU Biocidal Products Regulation is now available for Mixtures / Products.

Ref. IUCVI-9991

IMP It is now possible to generate reports in RTF format from a Mixture / Product.

Ref. IUCVI-10025

13.17.1 Chemical Safety Report (CSR)

Fixes and improvements:

- Improvements on the format: removal of empty lines in the hazard conclusion tables; few spelling mistakes corrected.
- Introduction of hyperlinks in all "References" pointing to the related pages in the Annex 1 and all "Test material information" pointing to annex 2.
- The name of the substance is now displayed in the header of the CSR
- The creation date and the version of IUCLID from which it is generated is now displayed in the footer of the CSR
- Better communication with Chesar for the generation of a complete CSR (part A and part B section 1-10)
- Chapter 1: "data waiving" and "test material" labels now not appearing if no information is provided in any endpoint records
- Chapter 3: pictograms are now available in the CSR document
- Chapter 4-7: In all result tables, the result flagged as "key result" is displayed always as first entry.



Known issues:

- Page numbers are not available in the table of content generated as .rtf. Please press the "CTRL+a and F9" to update the ToC.
- The Reference entries in the annex 1 are not separated in the CSR when it is generated as a .pdf. However, in the .rtf they are correctly displayed (Ref. IUCVI-9019)
- Footers display to be improved (*Ref. IUCVI-10425*)
- Styles in the .rtf document are missing (Ref. CHRIII-1226)
- Improvement on the formatting of the CSR document (Ref. IUCVI-9131)
- Rich-text field improvements (Ref. IUCVI-9018)
 - When a table is created or pasted into a rich-text field in IUCLID, it may not be correctly displayed in the CSR
 - When text is numbered using the button, the numbering is not sequential:
 each bullet number remaining as "1."
 - Not all changes to the font in a rich-text field are reflected in the generated
 CSR, affecting for example the display of the font size and style
- ERC/PROC descriptions in all Contributing activities/techniques for the environment is not displayed in the uses table (chapter 2) (Ref. IUCVI-10517)
- The label "link to subsequent service life" is printed even if no link is available (chapter
 2) (Ref. IUCVI-10512)
- The references entries available in Annex I are not ordered alphabetically, but accordingly to their appearance order in the CSR document. (Ref. IUCVI-9203)
- The test material information is not linked to the relevant endpoint study(-ies) (Annex II) (Ref. IUCVI-9204)
- Creation of an Annex III where to report information on the Mode of action / Human relevance framework (Ref. IUCVI-10204)

13.18Server version

IMP The server administrator is now informed (via a message in the log) when the IUCLID 6 server is properly started.

Ref. IUCVI-10188

FIX The upgrade to IUCLID 6 version 1.1.0 led to the following errors in some cases: 'no access' to substances and exception when accessing templates.

Ref. IUCVI-10094, IUCVI-10095



13.19User interface

IMP Relevant action buttons are disabled after execution in order to prevent two requests to be submitted by the user by mistake.

Ref. IUCVI-9867

IMP The user interface is able to scale correctly even on high-resolution screens.

Ref. IUCVI-10395, IUCVI-10262

New feature There is now a possibility to select several sections or records in a dataset in order to delete or copy them in bulk.

Ref. IUCVI-9793

IMP Newly created records in a dataset are now displayed above records stored in an inherited template linked to the dataset.

Ref. IUCVI-10263

IMP Multiple documents can now be removed from a list of linked records.

Ref. IUCVI-9705

FIX The links to the Fee calculator and the Advanced search have been removed from the home page of IUCLID has these features are not currently available.

Ref. IUCVI-10225

New feature It is now possible to sort records in a IUCLID section by drag and drop function.

Ref. IUCVI-9976

FIX Documents could not be opened when they contained specific malformed content (e.g. under section 7.3.2).

Ref. IUCVI-10274

13.20 Validation assistant

IMP Improved implementation of checks on testing proposals on the registered substance ['experimental study planned'] vs. testing proposals based on read-across ['experimental study planned (based on read-across)]'

Ref. TCC_ESR_19, TCC_ESR_20

IMP Improved implementation of checks on analytical information

Ref. TCC_0104_01, TCC_0104_02, TCC_0104_03

IMP Quality rules (QLT) implemented for physical and chemical properties (sections 4.4, 4.8, 4.12, 4.13, 4.15)



14 VERSION 1.1.0 (30 SEPTEMBER 2016)

14.1 Introduction

Please also refer to the Frequently Asked Questions on the IUCLID 6 website.

The releases notes are highlighting changes compared to previous versions of IUCLID 6.

No changes have been made to the IUCLID format.

Improvements are identified with IMP and bug fixes with FIX.

14.2 Migration (import, migration tool and IUCLID 6 database patch tool)

IMP Several performance improvements for the migration to Derby databases (including IUCLID Desktop version).

Ref. IUCVI-9285

FIX NullPointerException in Migration Rule MR6112 when migrating test material records from inherited templates under specific conditions.

Ref. IUCVI-9713

FIX The values of the field 'Doses / concentrations' in the document 'Developmental toxicity / teratogenicity' are now correctly migrated

Ref. IUCVI-9354

FIX Values under 'Toxicological information' in Inquiry records are now migrated correctly.

Ref. IUCVI-9559

FIX The information about which specific uses are linked in 14.3.6 is now transferred as text lines at the top of field "Detailed description of method of application".

Ref. IUCVI-9712

14.3 IUCLID functionalities

14.3.1 Annotations

IMP Multiple selection is now allowed for the annotations tab of a dossier or a dataset and bulk export of annotations is consequently possible.



14.3.2 Clipboard

IMP When a document in the IUCLID clipboard cannot be copied to the selected dataset, an error is displayed to the client (previously nothing was indicated).

Ref. IUCVI-9512

14.3.3 Comparison

IMP New feature in IUCLID 6: a user can now compare the content of two dossiers. More information can be found in the IUCLID 6 manual embedded in IUCLID (activated with the F1 key) or available on the IUCLID 6 website.

Ref. IUCVI-9152

14.3.4 Concurrency

IMP When several users are accessing and editing the same IUCLID document, the first user who saves will have his data stored in the database. The second user who saves will be informed that the data were modified.

Ref. IUCVI-9378

14.3.5 Export

FIX 'Document Selection' is now working properly in 'Entities list' panel when exporting a record and using the advanced options of the export assistant.

Ref. IUCVI-9488

FIX Orphan entities are now unselected automatically in the document selection step of the export assistant.

Ref. IUCVI-9191

IMP A stylesheet is now added in order to render the content of the manifest file when opened in a browser.

Ref. IUCVI-3728

FIX Documents attached to template were not correctly exported together with a substance in some specific cases (this affected the GHS, PBT summary and guidance on safe use documents stored in a template linked to a substance).

Ref. IUCVI-9809

14.3.6 GHS (section 2.1)

IMP Pictograms are now displayed together with their codes under the Labelling section of the GHS information.



14.3.7 Import

FIX When importing a IUCLID 6 dossier, the content of the dossier is now displayed in the 'References to' panel of the advanced import assistant.

Ref. IUCVI-8955

IMP A new option is available during import: 'Replace'. With this option, the dataset imported, if already present in the database, will completely replace the previously available one.

Ref. IUCVI-8739

14.3.8 Inventory management

IMP A new feature is added to manage the content of the inventories (e.g. contacts or test materials). The tool helps the user replacing one or several inventory entries with a selected one.

Ref. IUCVI-9490

14.3.9 Literature reference

IMP When creating a new literature reference from an endpoint study record under 'Data source', the newly created reference is automatically linked to the record.

Ref. IUCVI-8913

FIX The report date is now saved with the correct value in the database and displayed correctly to the user.

Ref. IUCVI-9594

14.3.10 Logging

FIX 'Wrong file format' log entries, related to the absence of the indication of the system which generated the UUID, are removed.

Ref. IUCVI-9394, IUCVI-9603

FIX Unnecessary log entry removed 'ResourceBundle with the name: 'empty' not found'

Ref. IUCVI-9444

FIX Unnecessary log entry removed 'File /version.xml not found'

Ref. IUCVI-9598

14.3.11 Print

FIX When accessing rich-text fields in IUCLID, there is now the possibility to print the content.



14.3.12 Reference substances

IMP In a reference substance, when an inventory entry is selected, the CAS number and molecular formula are automatically pre-filled in case this information was not there initially.

Ref. IUCVI-703

FIX In a reference substance included in a dossier, it is now possible to click 'Go to link target' to display the linked inventory entry.

Ref. IUCVI-8946

14.3.13 References to document

IMP A feature has been added which enables to see the links made to a document that is being viewed/edited.

Ref. IUCVI-5353

14.3.14 Search

IMP Search by dossier template also applies to Biocidal Products Regulation dossier types.

Ref. IUCVI-8965

IMP Search results are now ordered using the 'Modification date'. The last updates (or newly imported elements) are displayed first.

Ref. IUCVI-9577

FIX The search 'by synonyms' has been removed from the basic search options.

Ref. IUCVI-8823

14.3.15 Submission types

FIX BPR data under section 9.2 is made visible again.

Ref. IUCVI-9391

FIX The document 'Additional ecotoxicological information' is added to the BPR submission types.

Ref. IUCVI-9588

FIX Mandatory sections are updated for the following submission types:

- REACH registration 10 100 tonnes: missing mandatory sections: 5.1.2, 6.1.1, 6.1.7,
 7.8.1
- REACH registration transported isolated intermediates above 1000 tonnes: missing mandatory sections: 5.2.1



14.3.16 System administration

IMP A new option is available under 'Admin - System administration'. The system administrator can chose to display the last used user name in the IUCLID 6 login window. This change will be applied to all users of the IUCLID 6 installation.

Ref. IUCVI-3592

14.3.17 User preferences

IMP It is now possible to change the font size of IUCLID 6. Three different values are proposed. 11: default font size, 12: 125% and 13: 150%.

Ref. IUCVI-3525

IMP The possibility to configure proxy settings for update notifications has been added to the user preferences.

Ref. IUCVI-9406

14.3.18 User interface

IMP Several improvements have been done to the user interface for Linux and Mac OS users: e.g. fixes were implemented for missing buttons, toolbars, checkboxes not displayed correctly.

Ref. IUCVI-2421

FIX The checkbox can now be ticked only when clicking on them. Previously a click on the same line as the checkbox would change the status of the checkbox.

Ref. IUCVI-7394

FIX The yellow tips displayed when hovering the icons on the bottom right of the application are not blocking the access to the icons anymore.

Ref. IUCVI-8864

FIX The fields from the inventory entries can now be copied to the client clipboard.

Ref. IUCVI-9865

FIX The User Interface becomes unresponsive when loading large documents under section 3.5.

Ref. IUCVI-9242

FIX The appearance of the User Interface is now less dependent on the Windows Theme selected.

Ref. IUCVI-9293

FIX The records that can be selected under the document 'Opt-out' are now ordered alphabetically.

Ref. IUCVI-9704

FIX The values of the multi-select picklists can now be copied to the client's clipboard.



14.3.19 User management

FIX A role manager is now able to configure the access to the data sections even if he has no access to datasets.

Ref. IUCVI-9022

FIX The access to attachment permission does not affect the attachments in a dossier. Only the permission for Dossier should control the entire content of the dossier.

Ref. IUCVI-9408

FIX Annotations can be saved on a dossier even without R/W permission on dossiers.

Ref. IUCVI-9548

14.4 IUCLID modules

14.4.1 Chesar communication module

This version of IUCLID 6 (v1.1.0) can be used to exchange data with the service release of Chesar 3 which will be published in November 2016. For more details on the release date, see the news section on the Chesar website. Chesar users are advised to wait for this service release of Chesar 3 before upgrading to IUCLID 6 v1.1.0, because the communication between IUCLID 6 v1.1.0 and the current version of Chesar 3 does not work.

Ref. IUCVI-9684

14.4.2 Help system

IMP The content of the help system has been updated to include a description of the new features, improve existing documentation. A BPR submission manual has also been added to the list of manuals available.

FIX It is now possible to print the content of the Help system.

Ref. IUCVI-8962

14.4.3 Public REST API

IMP A beta version of the IUCLID Public REST API has been made available in order to allow developers building interfaces between IUCLID and other application. More information can be found on the IUCLID 6 website under Support.



14.4.4 Report generator

14.4.4.1 Chemical Safety Report

Changes compared to the previous version of the CSR generated by IUCLID.

General

- The information in Part A should be provided in section 13 of IUCLID before proceeding with the generation of the CSR
- The creation of a Joint or Own CSR is now defined in section 13 of IUCLID. This section includes the picklist options "all uses" "own CSR" or "joint CSR" which trigger the corresponding CSR generation type
- Information triggered from the CSR report generator has been updated according to the new IUCLID format
- Table numbers are numbered according to the related chapter and not consecutively as in the previous versions of the CSR generator
- In all study result tables the column "References" has been removed and the references put in the "Results" one.
- Basic assessment entity information is available in the CSR generated

Chapter 1

Nanomaterial information has been added to the CSR

Chapter 2

 Changes have been made to the layout of the tables related to all uses so as to better accommodate the new information captured from IUCLID

Chapter 3

 Classification and labelling according to DSD/DPD has been removed from the generated CSR

Chapters 4-8

- In all study result tables, the column "References" has been removed and the content put in the "Results" one.
- Justification for type of information available in all result tables

Chapters 9 and 10

No information is available in these sections besides the Chapter headers



14.4.4.2 Fixes and improvements of this release

- General: Improvements on the format (e.g. change of stiles, empty parenthesis removed, label with no content largely removed, empty tables not displayed, improved readability of TMI annex, ...)
- chapter 1:
 - EC name and CAS number
 - Nanomaterial information
 - Structural formula in .jpg format
 - Addition of 4 missing endpoints (4.23 Self-reactive substances, 4.24 organic peroxide, 4.25 corrosive to metals, 4.26 Gasses under pressure)
- Chapter 2: PC codes, empty table removed)
- Chapter 3: pictograms images
- Chapters 3-7
 - o "Justification for type of information" included in all endpoint tables
 - Eye irritations and skin irritation/corrosion tables

14.4.4.3 Known issues that will be implemented / resolved in the next version(s) of the CSR generator

- Page numbers are not available in the table of content generated as .rtf. Please press the "CTRL+A then F9" to update the table of contents
- Headers and footers information to be improved
- Styles in the .rtf document missing
- Rich-text field
 - Currently, when a table is created or pasted into a rich-text field in IUCLID, it may not be correctly displayed in the CSR
 - When text is numbered using the button, the numbering is not sequential, with each number remaining as "1."
 - Not all changes to the font in a rich-text field are reflected in the generated CSR, affecting for example the display of the font size and style
- ERC/PROC description in all Contributing activity/technique for the environment is not displayed in the uses table (chapter 2)
- The label "link to subsequent service life" is printed even if no link is available (chapter 2)
- The studies in the results table are not sorted according to the "Species", "Type of study", "Endpoint", "Type of genotoxicity" or "Route of administration" (chapter 5)
- All references mentioned in the CSR are reported and ordered according to how they appear in the CSR and not alphabetically (Annex I)
- currently the indication of which endpoint study the test material information is linked to is missing (Annex II)

14.4.5 Validation assistant

IMP TCC rule modified to reflect changes in Annex VII, 8.3 – skin sensitisation (TCC_070401_A01).

IMP QLT rules implemented for substance identification information (sections 1.1, 1.2, 1.4).

IMP QLT rule implemented to detect presence of attachment placeholders created by the database patch tool (QLT080).

IMP Failure message improvements.



FIX Subsequent service life required for Art. 10 intermediate, but not selectable in IUCLID (TCC_030503_01).



15 VERSION 1.0.2 (21 JUNE 2016)

15.1 Introduction

Please also refer to the Frequently Asked Questions on the IUCLID 6 website.

The releases notes are highlighting changes compared to previous versions of IUCLID 6.

No changes have been made to the IUCLID format.

15.2 IUCLID functionalities

15.2.1 Dossiers

(IUCVI-9246) The field 'Linked Contributing activity' in section 3.5 now appears as read-only in dossiers.

15.2.2 Import of IUCLID 5.6 dossiers

(IUCVI-9206) In some cases a problem with the import of IUCLID 5.6 dossiers has been detected when:

- the modification history entries were excluded from the exported dossier
- and the dossier contained a template with a document in section 3.7.1 with a Link to uses in section 3.5 indicating a 3.5 document of a substance dataset.

15.2.3 Migration

(IUCVI-9161) Issue with specific dossiers when migrating from a IUCLID 5.6 Oracle database to a IUCLID 6 Derby database.

15.3 IUCLID modules

15.3.1 Report generator

15.3.1.1 Chemical Safety Report

15.3.1.1.1 General

In all study result tables, the column "References" has been removed and the references put in the "Results" one.



15.3.1.1.2 Chapter 1

Nanomaterial information has been added to the CSR.

15.3.1.1.3 Chapter 2

Changes have been made to the layout of the tables related to all uses so as to better accommodate the new information captured from IUCLID.

15.3.1.1.4 Chapter 3

Classification and labelling according to DSD/DPD has been removed from the generated CSR.

15.3.1.1.5 Chapter 9 and 10

No information is available in these sections besides the Chapter headers.

15.3.1.1.6 Known issues that will be implemented / resolved in the next version(s) of the CSR generator

15.3.1.1.6.1 General

Page numbers are not available in the .rtf TOC. To get the page numbers, please press the following "CTRL+ a and F9"

No table of contents entries found.

Assessment entities are only included in Chapter 1 of the generated CSR.

Labels are always printed in the CSR even if no information is available in the corresponding IUCLID fields. This leads to an increased number of pages in the CSR.

Rich-text field issues:

- Currently, when a table is created or pasted into a rich-text field in IUCLID, it may not be correctly displayed in the CSR
- When text is numbered using the button, the numbering is not sequential, with each number remaining as "1."
- Not all changes to the font in a rich-text field are reflected in the generated CSR, affecting for example the display of the font size and style

15.3.1.1.6.2 Chapter 1

Structural formula image is missing from chapter 1.1. "Name and other identifiers of the substance".



15.3.1.1.6.3 Chapter 2

ERC description in all Contributing activities/techniques for the environment is not displayed in the text.

PROC description in all Contributing activity/technique for the environment is not displayed in the text.

Chapter 2.2: Even if "subsequent service life relevant for that use" has value "no", the following "Link to the subsequent service life" is still printed.

15.3.1.1.6.4 Chapter 3

The CSR currently prints all classification tables' headers when no corresponding classification is available in IUCLID.

Currently, no pictogram images are available in the CSR.

15.3.1.1.6.5 Chapter 5

The studies in the results table are not sorted according to the "Species", "Type of study", "Endpoint", "Type of genotoxicity" or "Route of administration".

15.3.1.1.6.6 Annexes

References: all references mentioned in the CSR are reported in the Annex I and ordered according to how they appear in the CSR, not alphabetically.

Test material information: currently the indication of which endpoint study the test material is linked to is missing.

15.3.2 Dissemination preview

Filtering of dossiers containing categories: inherited templates linked to category member substances other than the registered substance are now filtered correctly. Documents in such templates are removed by the Dissemination preview.

The filtering of the information for REACH is now supported for all IUCLID sections.

15.3.3 Help system

The content of the help system has been updated.

15.3.4 Chesar communication module

This version of IUCLID 6 can be used to exchange data with Chesar 3.



16 VERSION 1.0.0 (29 APRIL 2016)

Please also refer to the <u>Frequently Asked Questions</u> on the IUCLID 6 website.

16.1 IUCLID legislations

IUCLID legislations are a new concept introduced in IUCLID 6. The IUCLID database allows storing data according to different entry forms. The content of these forms is managed differently whether they are used by all IUCLID users (CORE legislation) or within the context of a regulation (e.g., REACH Regulation data entry forms are managed in the REACH legislation).

The list of entry forms belonging to each IUCLID legislation is published on the IUCLID 6 website:

http://iuclid6.echa.europa.eu/web/iuclid/documentation

16.1.1 CORE (version 2.25)

IUCLID CORE contains the main documents of IUCLID such as the substance identification, the classification and labelling information or the endpoint summaries.

16.1.2 OECD (version 2.20)

OECD contains the set of <u>documents harmonised at the OECD</u> level to report studies done on chemicals to determine their properties or effects on human health and the environment.

16.1.3 REACH (version 2.5)

REACH contains the specific documents used for the REACH Regulation, such as the inquiry or the opt-out information.

16.1.4 CLP (version 2.5)

CLP contains the specific documents used for the CLP Regulation.

16.1.5 BPR (version 2.6)

BPR contains the specific documents used for the Biocidal Products Regulation.



16.2 IUCLID functionalities

16.2.1 Annotations

 (IUCVI-8905) Import of IUCLID 5 files containing only annotations is not possible in IUCLID 6. The annotations should be exported with their parent documents from IUCLID 5 in order to be migrated during the import to IUCLID 6.

16.2.2 Assessment entities

(IUCVI-9005) Assessment Entity for "(group) of constituent" should retrieve only the list
of reference substances available in the substance current substance dataset.
 Currently all available reference substances are listed and IUCLID displays an error
message if an incorrect reference substance is selected.

16.2.3 Clipboard manager

 (IUCVI-8989 & IUCVI-6957) The clipboard manager content should be updated in case a document is deleted.

16.2.4 Documents management

- (IUCVI-8994) In IUCLID 5, the data entry forms were differentiating between 'Basic' and 'Details' fields. This was used to filter the content of the documents in the User Interface and to filter the content of the datasets during export and dossier creation. This option is not yet available.
- (IUCVI-8747) Changes without saving can cause an error if the user wants to delete a record.
- (IUCVI-8409) The content of rich-text area cannot be copied using Ctrl+C.

16.2.5 Dossier

- (IUCVI-8946) "Go to link target" in Inventory number field of a reference substance is not available when the reference substance is included in a dossier.
- (IUCVI-8923) The export option should not be activated for single documents in a dossier.
- (IUCVI-9028) When creating a dossier from a mixture / product linking to itself via a composition document, it is not possible to create a dossier of type 'BPR active substance application).



16.2.6 Export

 (IUCVI-8983) Steps to reproduce: login as IUCLID 6 user; find a substance; export the substance; open "Background Jobs"; find the background job related to the export of the substance; click on "Report"; click on "Details" tab; try to edit a UUID or Last modified date by double clicking. An error is reported.

16.2.7 Migration

• (IUCVI-8642) Error in migration tool when the user selects "Previous" when the migration process has already started.

16.2.8 Printing

• (IUCVI-8981) An error appear if the user deletes a substance that is being printed.

16.2.9 User interface

- (IUCVI-2421) User interface issues appear when the IUCLID client is used under a Linux environment (e.g., icons are not available).
- (IUCVI-8974) Sections in tabs, like in section 3.5, are not collapsed automatically if the user has chosen this option in his preferences.

16.2.10 User management

• (IUCVI-8802) Login fails when the user has a password containing only spaces.

16.2.11 Search

- (IUCVI-8965) Search by dossier template: BPR mixture dossier types are missing.
- (IUCVI-8823) Search for substance by reference substance using the criterion 'Synonyms' is not working.
- (IUCVI-8424) Search mixture/product by synonyms does not work.
- The advanced search module is not available.



16.3 IUCLID modules

16.3.1 Validation assistant

The Validation assistant contains:

- All automated completeness check (TCC) rules; please note that the Validation assistant does not predict the manual verification checks performed by ECHA during completeness check.
- Those business rules (BR) that rely on IUCLID data only. Business rules that depend on information from the ECHA database (e.g. submission history) are not included in the Validation assistant.

Validation is supported on both dossiers and substance datasets.

Future versions of the Validation assistant will include quality checks to support users in improving consistent reporting of information.

Disclaimer: The Validation assistant simulates the submission checks carried out by ECHA as far as possible. The responsibility remains with the submitter to ensure that their dossier fulfils all the relevant legal requirements.

16.3.2 Report generator

The Report generator in IUCLID 6 allows the user to generate reports based on data stored in a IUCLID 6 dataset or dossier.

16.3.2.1 Chemical Safety Report

This section of the Release notes relates to the generation of the Chemical Safety Report (CSR) using the Report generator.

16.3.2.1.1 Changes compared to previous version

In these release notes, we highlight the changes compared to the previous version of the CSR generated by IUCLID 5:

16.3.2.1.1.1 General

- The information in Part A should be provided in section 13 of IUCLID before proceeding with the generation of the CSR
- The creation of a Joint or Own CSR is now defined in section 13 of IUCLID. This section includes the picklist options "all uses" "own CSR" or "joint CSR" which trigger the corresponding CSR generation type
- Information triggered from the CSR report generator has been updated according to the



new IUCLID format

 Table numbers are numbered according to the related chapter and not consecutively as in the previous versions of the CSR generator

16.3.2.1.1.2 Chapter 1

Nanomaterial information has been added to the CSR

16.3.2.1.1.3 Chapter 2

 Changes have been made to the layout of the tables related to all uses so as to better accommodate the new information captured from IUCLID

16.3.2.1.1.4 Chapter 3

Classification and labelling according to DSD/DPD has been removed from the generated CSR

16.3.2.1.1.5 Chapter 9 and 10

No information is available in these sections besides the Chapter headers

16.3.2.1.2 Known issues and areas for improvement

During our testing we have collected a list of known issues and improvements requested by users that will be taken into account in the next version(s) of the CSR generator. They are identified below.

16.3.2.1.2.1 General

- Labels are always printed in the CSR even if no information is available in the corresponding IUCLID fields. This leads to an increased number of pages in the CSR.
- Remarks are generally indicated in parenthesis. However in cases where no "remarks" have been provided in IUCLID the opening and closing parentheses are always printed as (). For example in the result tables the following may be printed "LD50: >5000 mg/kg bw (male/female) based on: () ()"
- Assessment entities are not currently taken into consideration for the generation of the CSR.
- Rich-text field issues:
 - Currently, when a table is created or pasted into a rich-text field in IUCLID, it may not be correctly displayed in the CSR
 - When text is numbered using the button, the numbering is not sequential, with each number remaining as "1."
 - Not all changes to the font in a rich-text field are reflected in the generated CSR, affecting for example the display of the font size and style

16.3.2.1.2.2 Table of Content (TOC)

 Page numbers are not available in the TOC. To get the page numbers, please press the following "CTRL+ a and F9"



16.3.2.1.2.3 Chapter 1:

- EC name information is missing in Table 1. Substance identity
- CAS number (EC inventory) information is missing in Table 1. Substance identity
- Structural formula image is missing from chapter 1.1. "Name and other identifiers of the substance"
- The Characterisation of a nanomaterial in all compositions is wrongly appearing when no information is available in the fields

16.3.2.1.2.4 Chapter 2

- ERC description in all Contributing activity/technique for the environment is not displayed in the text
- PROC description in all Contributing activity/technique for the environment is not displayed in the text
- SU 0, AC02 and AC0 are not printed if the corresponding free text field is not populated.
 However, when the text field beside them is populated, this free text is correctly transferred to the CSR

16.3.2.1.2.5 Chapter 3

- The CSR currently prints all classification tables' headers when no corresponding classification is available in IUCLID
- Currently, no pictogram images are available in the CSR

16.3.2.1.2.6 Chapter 4

 The "Justification for classification or non-classification" in section 4.14 "Explosiveness", 4.15 "Flammability" and 4.16 "Oxidising properties" are not transferred to the corresponding section in the CSR (6.1 Explosivity, 6.2 Flammability, 6.3 Oxidising potential)

16.3.2.1.2.7 Chapter 5

- The studies in the results table are not sorted according to the "Species", "Type of study", "Endpoint", "Type of genotoxicity" or "Route of administration"
- Skin irritation /corrosion: only the studies having "Category 1 (corrosive) based on GHS criteria", "Category 1A (corrosive) based on GHS criteria", "Category 1B (corrosive) based on GHS criteria", "Category 1C (corrosive) based on GHS criteria" selected in the "interpretation of results" field, are reported in the CSR
- Eye irritation: only the studies having "Category 1 (irreversible effects on the eye) based on GHS criteria", "Category 2 (irritating to eyes) based on GHS criteria", "Category 2A (irritating to eyes) based on GHS criteria", "Category 2B (mildly irritating to eyes) based on GHS criteria" selected in the "interpretation of results" field, are reported in the CSR

16.3.2.1.2.8 Annexes

- References: All references mentioned in the CSR are reported in the first Annex named "References" and ordered according to how they appear in the CSR. The references are not ordered alphabetically
- Test material information: currently the indication of which endpoint study the test material is linked to, is missing



16.3.3 Dissemination preview

Known issues:

Filtering of dossiers containing categories: inherited templates linked to category
member substances other than the registered substance are not filtered correctly.

Documents in such templates should be removed by the Dissemination preview, and
will not be published on the website.

IUCLID sections for which filtering is not supported in this version (i.e. the dissemination preview will not remove any data in the following sections):

- Section 3.5: Use and exposure information
- Section 3.6: Uses advised against
- Section 4.28: OECD harmonised templates on 'Additional physico-chemical properties of nanomaterials'

16.3.4 Fee calculation

The Fee calculation plug-in is not available in IUCLID 6 yet.

16.3.5 Help system

The IUCLID 6 Help System is intended to enable any user to learn how to use IUCLID 6 without having to refer to the IUCLID Helpdesk, or to seek additional training. It serves as a complete reference manual for all IUCLID 6 features, functions, and options. It is integrated in to the application itself, with access being provided via links in the user interface, and by pressing the function key F1.

The reference manual for all IUCLID 6 features, functions, and options can also be downloaded in .pdf format and is available in different languages from the IUCLID 6 website.

In addition, the Help System integrated in IUCLID 6 also includes manuals on how to use the application for regulatory purposes, including help on entering data into Substance datasets.

16.3.5.1 Known issues

- (IUCVI-8962) When the user selects the option to print, the Print dialog should appear
 allowing the user to proceed with the printing process. Instead, it has been reported in
 some cases that no Print dialog appears, and no print activity is indicated to the user.
 Furthermore, an error appears in IUCLID.
- (IUCVI-8028) Steps to reproduce the issue: open the Help System: Press F1 or from menu select Help -> Help; expand section "Functionalities of IUCLID 6" in the tree view pane; double-click on "Changes to this document"; double-click on "9.... Legal entity site". The highlighted selection changes from "9.... Legal entity site" back to "Changes



to this document", instead of remaining on the currently selected topic. This erratic behaviour occurs to all topics/sub-topics within the "Functionalities of IUCLID6" section.

